## Barozh 12: formation processes of a late Middle Paleolithic open-air site in western Armenia

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### **Abstract**

Barozh 12 is a Middle Paleolithic (MP) open-air site located near the Mt Arteni volcanic complex at the margins of the Ararat Depression, an intermontane basin that contains the Araxes River. Sedimentology, micromorphology, geochronology, biomarker evidence, together with an assessment of artifact taphonomy permits the modelling of site formation processes and paleoenvironment at a level of detail not previously achieved in this area. Obsidian MP artifacts were recovered in high densities at Barozh 12 from four stratigraphic units deposited during marine oxygen isotope stage 3 (MIS 3)  $(60.2 \pm 5.7 - 31.3 \pm 3 \pm 3)$  ka). The MIS 3 sequence commences with low energy alluvial deposits that have been altered by incipient soil formation, while artifact assemblages in these strata were only minimally reworked. After a depositional hiatus, further low energy alluvial sedimentation and weak soil formation occurred, followed by higher energy colluvial (re)deposition and then deflation. Artifacts in these last stratigraphic units were more significantly reworked than those below. Analysis of plant leaf wax (n-alkane) biomarkers shows fluctuating humidity throughout the sequence. Collectively the evidence suggests that hunter-gatherers equipped with MP lithic technology repeatedly occupied this site during variable aridity regimes, demonstrating their successful adaptation to the changing environments of MIS 3.

### **Keywords:**

Late Pleistocene; MIS 3; Middle Paleolithic; Site Formation; Geoarchaeology; Sedimentology; Micromorphology; IRSL Dating; Armenia

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### 1. Introduction

Marine oxygen isotope stage (MIS) 3 (~ 57 – 29 kya [Lisiecki and Raymo 2005]) coincided with the Middle to Upper Paleolithic 'transition', a technological change that has often been associated with expansion of an anatomically modern human population into western Eurasia (e.g. Akazawa et al. 1998). However, the timing and directionality of human dispersals, population dynamics, and how these all relate to Paleolithic stone tool variability in southwest Asia remains poorly understood (Bar-Yosef and Belfer-Cohen 2013, Dennell 2008, 2017; Dennell and Petraglia 2012, Groucutt et al. 2015, Bretzke and Conard 2017). This uncertainty is due in part to a general scarcity of well-excavated and dated archaeological sites in most of the region for the relevant period and a sparse fossil record that often precludes the association of MP technologies with either Neanderthals or anatomically modern humans. Late Pleistocene hominin population dynamics were likely influenced by numerous factors including regional topography, geological and hydrological dynamics, paleoenvironment, and the ability of hominins to maintain social networks and viable breeding populations (e.g. Dennell 2017). In order to investigate the relative importance of these factors, chronologically controlled contextual data from archaeological sites in variable geomorphological settings are needed to document spatio-temporal patterns of hominin occupation and land use. Such was our aim in investigating the landscape surrounding the Mt Arteni volcanic complex in western Armenia.

Fieldwork in Armenia over the last twenty years has documented numerous cave/rock shelter and open-air sites that highlight the richness of the local MP record (e.g. Gasparyan et al. 2014). However, few MP open-air sites in Armenia have been excavated, and even fewer subjected to detailed geoarchaeological, geochronological, and paleoenvironmental analysis (Ghukasyan et al. 2011, Adler et al. 2014, Gasparyan et al. 2014, Egeland et al. 2016).

In this paper, we discuss the results of excavation, analysis of site formation processes, and the paleoenvironmental record at the site of Barozh 12. We describe and interpret the stratigraphy based on the results of geoarchaeological study, infrared stimulated luminescence (IRSL) dating, and biomarker analysis. We also examine artifact displacement and size sorting with reference to experimental and other empirical research on the effects of fluvial reworking of lithic artifact assemblages. Analyzing these data in conjunction with those obtained from laboratory sedimentological and micromorphological study, we assess the integrity of the lithic assemblages and their implications for hominin occupation at Barozh 12. Our aims are to: (1) Reconstruct the depositional, pedogenic, diagenetic, and erosional processes that contributed to the formation of the Barozh 12 archaeological site; (2) Determine the age of sediment deposition and the time range of site occupations; (3) Assess the degree to which artifact assemblages were affected by syn- and post-depositional processes; and (4) Evaluate the paleoenvironmental context of site occupations.

# 1.1 Regional setting and background

The Greater and Lesser Caucasus mountain ranges were formed by the Neogene collision of the Arabian and Eurasian plates (Philip et al. 1989). These processes also led to the development of the Armenian volcanic highlands, a physiographic area with steep elevation-dependent climatic and biotic gradients (e.g. Volodicheva 2002). This area is rich in obsidian and other volcanic toolstone available in a variety of geomorphic contexts.

The site of Barozh 12 (40°20′01.26″N, 43°47′38.40″E; 1336 masl) is located ~ 5 km southwest of the town of Talin, and ~ 60 km west of Armenia's capital, Yerevan (Figure 1). The site lies within the area of the Mt Arteni volcanic complex, that includes the Pokr (small) and Mets (big) Arteni volcanoes (1754 and 2047 masl, respectively). Today this area is characterized by steppic to semi-desert grassland, with

some *Artemisia* species, and a semi-arid climate with average temperatures ranging from  $-5^{\circ}\text{C} - 30^{\circ}\text{C}$  annually, and an average of  $\sim 300 - 400$  ml of precipitation per year (Volodicheva 2002). The Mt Arteni volcanic complex comprises a series of volcanoclastic deposits, the most extensive of which is the 'Aragats flow' that trends in an E - W direction from Mets Arteni (Figures 1 and 2). Obsidian-bearing perlitic lava flows and pyroclastic deposits have also been described within the volcanic complex. These comprise three geochemically distinct obsidian varieties, one associated with Mets Arteni, and two associated with Pokr Arteni (Frahm et al. 2014). Fission track and K-Ar dating of the obsidians associated with Pokr Arteni has yielded age estimates of 1.45  $\pm$  0.15 Ma and 1.26  $\pm$  0.5 Ma respectively, while rhyolitic deposits associated with the edifice have been dated to 1.6  $\pm$  0.15 Ma (Chernyshev, et al. 2002; Lebedev et al. 2011; Figure 2). The Mt Arteni volcanic complex itself is part of the much larger Aragats neovolcanic area (cf. Lebedev et al. 2011), which in addition to the Arteni vents, comprises two stratovolcanoes; Mt Aragats and Mt Arailer (4,090 masl and 2,614 masl respectively). Based on K-Ar dates from both vents and associated volcanic deposits, Mt Aragats had an eruptive history spanning 1.0 - 0.5 ma, while Mt Arailer erupted between 1.4 and 1.2 Ma (Chernyshev et al., 2002; Lebedev et al. 2011).

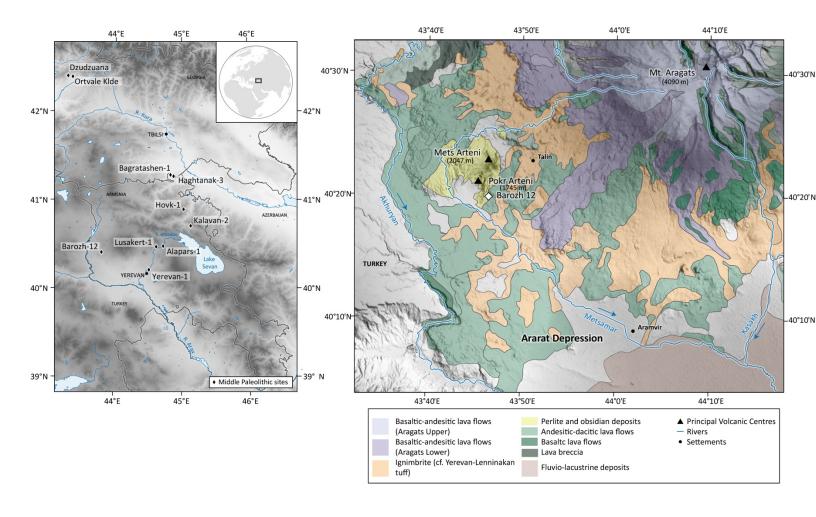
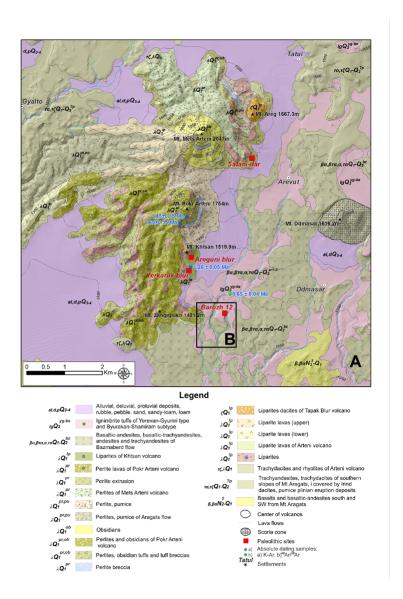


Figure 1. (A) Map of the study region showing locations of Middle Paleolithic sites and (B) geological map showing the Mt Arteni volcanic complex and location of Barozh 12.



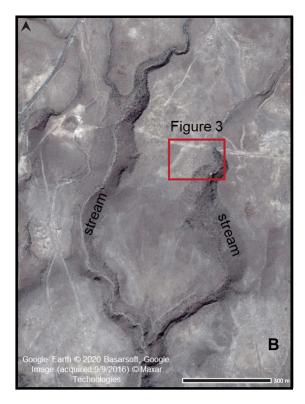


Figure 2. (A) Geological map of the Mt Arteni volcanic complex with location of Barozh 12. (B) Aerial photograph (Google Earth image 2020) of the Barozh 12 locality showing streams bordering the plateau and excavation area (red square).

The Talin-Karmrashen plateau on which Barozh 12 lies is dissected by southward trending ephemeral streams, which are likely to have incised their beds during the Middle to Late Pleistocene as a response to tectonic uplift and base level change (Figure 2A). South of the Barozh 12 plateau lies the NW margin of the Ararat Depression, an intermontane basin formed by subsidence induced by uplift along its margins and associated with faults running NW – SE (e.g. Lebedev et al. 2013, Avagyan et al. 2018). The basin separates the Aragats neovolcanic area from the Ararat Mountains in eastern Turkey and the Kars Plateau, and currently contains the floodplain of the Araxes River. Fine-grained sediments remaining on the plateaus in the Barozh locality overlie spatially extensive ignimbrites associated with the Aragats Volcanic Province (AVP) which have been dated using a combination of K-Ar and <sup>40</sup>Ar/<sup>39</sup>Ar in the Mt Aragats area to the interval 0.9 – 0.5 Ma (Mitchell and Westaway, 1999; Chernyshev et al. 2002; Aspinall et al. 2016, Gevorgyan et al. 2018).

The Mt Arteni volcanic complex itself has a long history of Paleolithic research (Panichkina 1950, Sardaryan 1954, Klein 1966), however, the plateaus within a 1-4 km radius of the complex have only recently been investigated (Glauberman et al. 2016). Barozh 12 was discovered during survey in 2009. Obsidian MP artifacts were initially observed as a dense spread covering  $\sim 6000$  m² area of the surface of a plateau 1-2 km southeast of the Mt Arteni volcanic complex. Preliminary excavation and archaeological and geological analysis were carried out at the northwestern part of the locality from 2014 to 2017 (Glauberman et al. 2016).

### 2. Materials and methods

## 2.1 Excavation and sampling

The topography of the Barozh 12 plateau was mapped at 5 m intervals using a total station. Six 1 m x 1 m trenches were then excavated at 10 - 20 m intervals along two transects that bisect a slightly elevated

area at the northeast end of the plateau (Figure 3). The latter area had the highest surface artifact densities and was the location of a test trench excavated in 2009 (47A1; 0.5 x 0.5 m). One trench (49H1) was placed on the edge of a shallow gully ~ 35 m to the west of the main excavation area, where surface artifacts were rare to absent. Trenches were excavated down to the basal ignimbrite in arbitrary 10 cm spits within each lithostratigraphic unit, while the trenches ranged in depth from 0.4 m to 1.0 m. Artifacts were collected by spit and stratigraphic unit, while excavated sediments were dry screened through a 5 mm mesh, and all obsidian artifacts greater than 5 mm in maximal dimensions were retained.

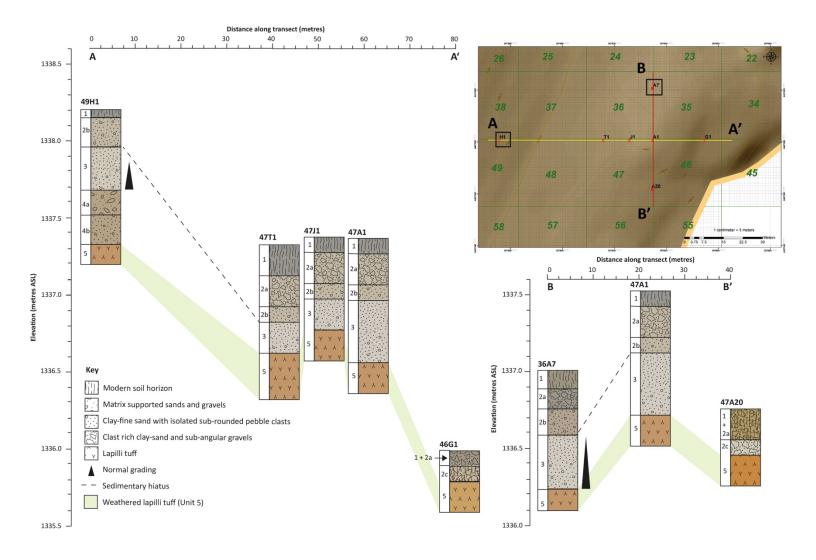


Figure 3. Schematic stratigraphic cross section across the Barozh 12 excavated areas

The southern sections of all trenches were described and recorded according to standard protocols (Jones et al., 1999, Jerram and Petford, 2011), while Trench 36A7 was also sampled as continuous 2 – 5 cm-thick blocks for laboratory sedimentological and paleoenvironmental study (the locations of all samples taken from Trench 36A7 and described here are shown in Figure 4). Trench 49H1 was also sampled for sedimentological analysis in the same manner as for Trench 36A7. Monolith samples measuring 15 x 8 cm were collected in stainless steel tins for micromorphological analysis across lithostratigraphic boundaries from Trench 36A7, while three sets of paired samples were taken for IRSL dating from the same trench. Bulk sediment samples (~150 g) were also collected in 5 cm intervals from Trench 36A7, from the surface to the basal ignimbrite, for extraction and quantification of *n*-alkanes (Figure 4).

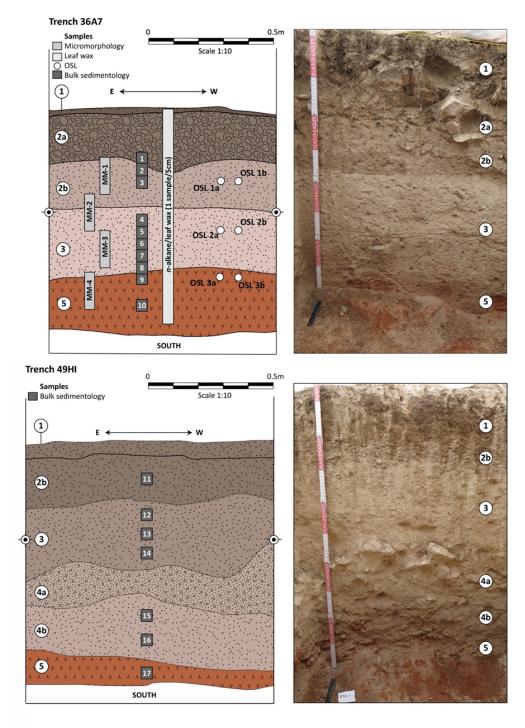


Figure 4. Schematic stratigraphy and photos of sections of Trenches 36A7 (left) with sample locations and 49H1 (right). See Figure 3 for trench locations.

## 2.2 Laboratory methods

# 2.2.1 Sedimentological, geochemical and micromorphological analyses

Prior to laboratory sedimentological and geochemical analyses, the sediment samples from Trenches 36A7 and 49H1 were oven dried at  $40^{\circ}$ C and then disaggregated with a pestle and mortar. The dried samples were passed through a 2 mm mesh, the weight of the >2 mm fraction recorded, and sub-samples of the <2mm fraction were retained for bulk sedimentological and geochemical analyses. A further sub-sample of the < 2mm fraction passed through a 250  $\mu$ m mesh and used at 250  $\mu$ m for magnetic susceptibility measurements.

Mass specific magnetic susceptibility was measured on both <2 mm and < 250  $\mu$ m size fractions at low frequency (0.46 kHz,  $\chi^{lf}$ ) and high frequency (4.6 kHz,  $\chi^{hf}$ ) using a Bartington MS2 meter with an MS2C dual frequency sensor and following the protocol outlined in Dearing (1999). Values in Figure 6 are expressed as SI units x10<sup>-6</sup> m³ kg<sup>-1</sup>. Percentage frequency-dependent magnetic susceptibility ( $\chi^{fd\%}$ ) was calculated as:  $\chi^{fd\%} = 100 \times [(\chi^{lf} - \chi^{hf}) / \chi^{lf}]$ . Percentage carbonate content (%CaCO<sub>3</sub>) was estimated from loss-on-ignition at 1000°C following the method described by Heiri et al. (2001).

Grain size analysis was undertaken using a Malvern Mastersizer 3000 laser granulometer with a Hydro UM accessory. Prior to measurement, calcium carbonate and organic matter were removed with the successive addition of 10% hydrochloric acid and 10% hydrogen peroxide. Samples were then immersed in 0.5% sodium hexametaphosphate for 24 hours to prevent coagulation. Prior to measurement, samples were subject to ultrasound for 1 minute. Each sample was measured 10 times using the Mie optical model in 116 channels ranging from 0.04  $\mu$ m to 2000  $\mu$ m (16 – -1  $\phi$ ). Summary statistics for grain size data were calculated using the Folk and Ward (1957) method and employing the GRADISTAT statistical package (Blott and Pye, 2001).

Thin section samples for micromorphological analysis were prepared following the standard methodology employed by Quality Thin Sections Inc. (Arizona, USA). Once produced, thin sections were divided into 4 cm intervals, accounting for stratigraphic boundaries, and then studied using an Olympus BH-2 microscope at magnification x10 to x100 under transmitted plain-polarised, cross-polarised and oblique incident light. The strata observed in thin section were described and quantified according to the Bullock et al. (1985) criteria.

Elemental concentrations of bulk (< 2mm) sediment samples were measured using a Thermo Scientific Niton XL3 portable x-ray fluorescence analyzer (pXRF). Prior to measurement samples were homogenised and pressed into 10 cm<sup>3</sup> plastic pots and then covered with 6 μm Mylar® film. Samples were analysed in a Niton FXL desk-top unit in 'Mining Cu/Zn' mode for 150 seconds (60, 30, 30 and 30 seconds on 'main', 'high', 'low' and 'light', respectively) using a 3 mm beam, with measurements repeated two times for each sample. Prior to each sample run, five standard reference materials (SRM) - NIST2709a, TILL4, RCRA; AL6061; SiO<sub>2</sub> blank - were analysed. All data presented here are expressed as corrected ppm, based on linear regression analysis of pXRF measured values of each element against published SRM values. Specific elemental ratios (Zr/Rb, Ti/Al, Ba/Sr, K/Ti, Si/Al) were calculated using PAST paleontological statistics software (Hammer et al. 2001). The selected ratios were used as they give an indication of particle size distribution and geochemical weathering within the Barozh 12 sequences (Sheldon and Tabor, 2009).

# 2.2.2 IRSL Dating

Sediment samples were collected from Units 5, 3, and 2b using opaque stainless-steel tubes which were hammered horizontally into cleaned stratigraphic sections and wrapped in black plastic after removal. Potassium-rich feldspar (K-feldspar) grains were extracted from the sediment samples for optical dating

and prepared for aliquot measurements to provide age estimates. We applied a single-aliquot post-IR IRSL (pIRIR) procedure (Thomsen et al., 2008) to determine equivalent dose ( $D_e$ ) for our samples. Environmental dose rates were calculated respectively using sediment from within a  $\sim$  30 cm radius from the sample tubes and material from within the sample tubes for gamma and beta dose rate, respectively. For further details on dose rate measurement, equivalent dose estimation, and age estimates, see Appendix A.

# 2.2.3 Plant leaf waxes (n-alkanes)

After collection, bulk sediment samples were kept frozen to avoid any post-collection alteration of the n-alkanes present in the samples (Brittingham et al. 2017). Sediment samples were placed in a Soxhlet extractor with 400 mL of 2:1 (v/v) dichloromethane:methanol and extracted for 48 hours. Total lipid extract was evaporated under a gentle stream of  $N_2$  gas at 40°C before undergoing silica gel column chromatography. We eluted the aliphatic fraction with 2 mL hexane, the aromatic fraction with 4 mL dichloromethane, and the polar fraction with 4 mL methanol. When n-alkenes were identified as coeluting in samples, neutral fractions were passed through a silver nitrate column to remove them. In samples with a high unresolved complex matrix, urea adduction was used to remove branched- and cyclicalkanes.

We quantified *n*-alkanes on a Thermo-Scientific Trace Gas Chromatograph (GC) Ultra with a split/splitless injector and flame ionization detector (FID). Samples were separated on a BP-5 column (30m x 0.25 mm x 0.25 um) with helium as the carrier gas (1.5 ml/min). Oven temperature was set at 50°C for 1 minute, ramped to 180°C at 12°C/min, then ramped to 320°C at 6°C/min and held for 4 minutes. *n*-alkane standards of known concentration were run between every eight samples to ensure reproducibility and quantify unknown archaeological n-alkane concentrations.

# 2.2.4 Artifact analysis

To investigate site formation through the study of artifacts (cf. Schiffer 1983, Nash and Petraglia 1987, Bordes 2003, Eren et al. 2011), we analyze lithic artifact assemblages recovered from excavation trenches 36A7, 47J1, and 47T1 (n = 12,456). These trenches cut through virtually identical stratigraphy and contained the complete stratigraphic sequence. Aside from counts, the relatively few artifacts from Trench 49H1 (n = 147) are not included in this analysis. Artifacts were studied in two stages because they were often coated in carbonates. First an inventory was made, and then a detailed attribute analysis was carried out. Inventory included counting and categorizing all artifacts that could be identified to class (core, blank, flake < 2 cm, angular debris, angular debris < 2 cm; Table 5 in Results) and determining completeness (broken, complete). The maximal dimensions of all artifacts were measured using calipers. Following Schick (1986, 1987) and Bertran et al. (2012), complete and broken artifacts are included in size distribution data.

After inventory production, but prior to attribute analysis, sub-samples of artifacts with carbonate coatings from each stratigraphic unit were analyzed. The presence or absence of carbonate crusts, and if they covered one or all artifact surfaces were recorded. If present, the percentage of crust coverage was recorded for the dominant surface. Coatings were then chemically removed from samples of artifacts from each stratigraphic unit (see Appendix A). Samples taken for cleaning and attribute analysis (total n = 950) attempted to include representative numbers of technologically diagnostic artifacts of all classes. The attribute analysis comprised the collection of detailed data on artifact manufacture techniques and typology (results forthcoming in a separate study). This analysis also included documentation of damage and weathering. It was observed whether artifacts displayed post-manufacture damage on their edges, indicated by non-anthropogenic flaking, rounding, and crushing. Damage was recorded on a scale from

undamaged to severely damaged. Artifact weathering was recorded on a scale from un-weathered to severely weathered based on visual appearance of the intensity of hydration, or weathering rind development on artifact surfaces. This study includes the analysis of inventory data, complemented by data on artifact damage and weathering gathered during attribute analysis.

#### 3. Results

## 3.1 Stratigraphy and sedimentology

As documented in Figure 3, there is little stratigraphic variation between the test trenches, and the five principal stratigraphic units are identifiable across the whole excavated area, albeit that they are best represented in trenches 36A7 and 49H1 (Table 1).

The lowermost Unit (Unit 5) comprises medium to coarse sand with coarse ash to fine lapilli of predominantly rounded and Fe/Mn stained pumice. This unit outcrops at the base of all the test trenches and is the upper weathered surface of the Aragats ignimbrite deposits. In Trench 49H1, Unit 5 is overlain by Unit 4, which comprises interbedded matrix-supported (Unit 4a) and clast-rich (Unit 4b) sub-rounded to rounded pebbles and cobbles of pumice, felsic lava, and obsidian in a matrix of fine-medium sand. Unit 3 is present in Trenches 47T1, 47J1, 47AI and 36A7, and in 49H1 where it overlies Unit 4, and tapers downslope towards the SE of the excavation area. Unit 3 comprises normally-bedded fine sand-silty clay with isolated pebble-sized clasts of obsidian and pumice, while carbonate rhizoliths, powdery carbonate and gypsum intercalations are present throughout. There is a sharp contact between Unit 3 and the overlying Unit 2, and the latter comprises three subunits. Unit 2c is formed of clast-rich angular to subangular pebble—cobble sized gravels and is only found in Trenches 47A2O and 46GI, where it directly overlies Unit 5. Elsewhere, Unit 2 is represented by Units 2b and 2a. Unit 2b is a massive silt—medium sand with isolated rounded pebble sized clasts of ignimbrite. The matrix is formed of moderately-

developed sub-angular, blocky peds, while rhizoliths are present throughout. Similar morphological properties are observable in Unit 2a, however, the latter is differentiated from Unit 2b by an increase in the size and frequency of gravel-sized clasts. The uppermost Unit (Unit 1) in the Barozh 12 sequence is a massive humic silt—fine sand with isolated pebble to boulder-sized clasts, representing the current surface soil. Hereafter the uppermost units are treated together as Unit 1+2a.

The results of sedimentological analysis of Barozh 12 indicate the deposits are poorly sorted ( $\sigma_{\varphi}$ = 1.7-2.5) and principally of a coarse silt – very fine sand texture ( $M_{\varphi}$ = 3.2-6.7), with a symmetrical–fine skewed distribution (Table 2). Broadly, the sequence is characterized by high  $\chi^{\rm lf}$  (24.6–109.5 SI Units) and relatively low %CaCO<sub>3</sub> (0.5–15.9%) values. In both the Trench 36A7 and 49H1 sequences,  $\chi^{\rm lf}$  of the <250  $\mu$ m fraction is generally lower than those of the < 2mm fraction, while,  $\chi^{\rm fd\%}$  is relatively high in both the <2mm and <250  $\mu$ m fractions (6.1–14.4%).

In both Trenches 36A7 and 49H1 there are variations in the sedimentological parameters between strata (Figure 5). In Trench 36A7, Unit 5 is characterized by elevated  $\chi^{lf}$  and low CaCO<sub>3</sub> contents, and has a fine sand texture. Clay content increases in the overlying Unit 3, and as a result a lower median particle size was observed than in Unit 5. This change coincides with a decrease in  $\chi^{lf}$ , and an increase in %CaCO<sub>3</sub>. Unit 2b is characterized by a further increase in clay content, and elevated values of CaCO<sub>3</sub> and  $\chi^{lf}$  in comparison to Unit 3. The particle size distribution of strata in Trench 49H1 (Figure 6 and Table 2) is generally finer than in comparable units in Trench 36A7, and the sediments are predominantly silty in texture. In Trench 49H1, Unit 5 is characterized by high  $\chi^{lf}$  and a poorly sorted fine-sand dominated grain size. The overlying Unit 4b exhibits a coarse silt texture; lower  $\chi^{lf}$ , and higher %CaCO<sub>3</sub> than in Unit 5. Unit 3 is characterized by low  $\chi^{lf}$  and higher CaCO<sub>3</sub> content compared to the lower Units, while there is also an increase in clay content from 15 to 25% upwards through the unit. High clay and CaCO<sub>3</sub> content, and low

 $\chi^{\rm lf}$  characterize Unit 2b. In both the Trench 36A7 and 49H1 sequences,  $\chi^{\rm fd\%}$  exhibits a trend to lower values towards the top of the sequence.

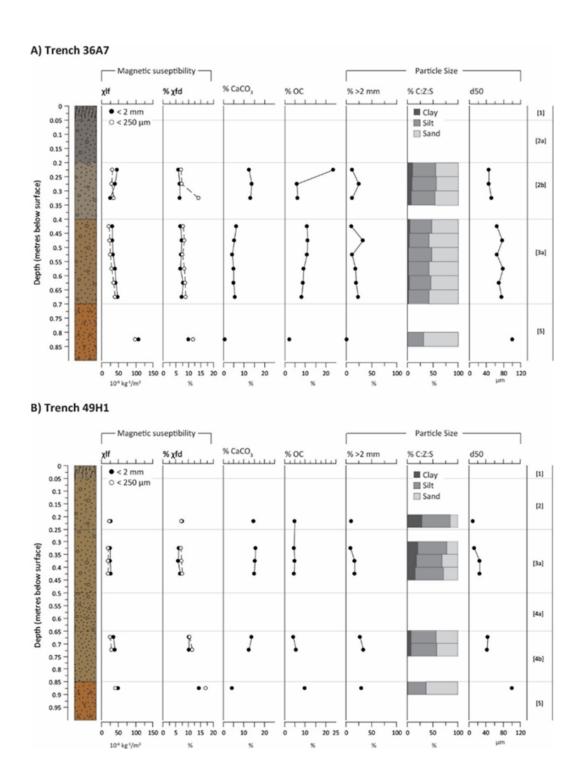


Figure 5. Results of sedimentological analysis in Trench 36A7 (A) and Trench 49H1 (B).

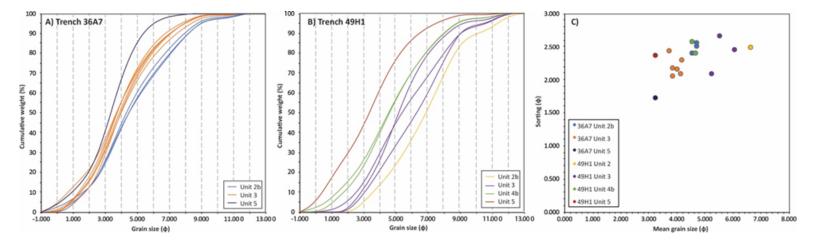


Figure 6. Grain size distribution of the Barozh 12 sequence. (A) and (B) Cumulative frequency plots showing grain size distribution from Trenches 36A7 and 49H1 respectively. (C) XY plot comparing mean grain size to sorting (calculated following equation of Folk and Ward [1957]) of grain size samples from the Barozh 12 sequence.

## 3.2 Thin section micromorphology

The main micromorphological properties of Trench 36A7 (upper part of Unit 5, Unit 3, Unit 2b) are presented in Table 3. The overall microstructure of the sequence ranges from massive to granular with porosity ranging from simple vughs and channels at the base to intergranular towards the top of the sequence. The coarse-fine (c/f at 20  $\mu$ m) related distribution is closed—open polyphric throughout with a ratio of 2:3 to 1:1, with the main constituents of the coarse mineral grains being typic and banded pumice and scoria, mafic and felsic lava fragments, obsidian fragments and large (up to 100  $\mu$ m,  $\alpha$ -axis length) single feldspar (mainly plagioclase) grains. Also present throughout the sequence are rounded aggregates of clay and calcite, rare fragments of micritc-microspartic carbonate and clay and micritic calcite rich sand. The fine fraction (< 20  $\mu$ m) is predominantly silt-sized with volcanic glass, microspartic calcite and gypsum as the primary constituents, while the b-fabric is undifferentiated to crystallic throughout. The whole sequence is characterized by a range of textural, crystalline and fabric pedofeatures and compound pedofeatures; these take form as primarily infillings, coating and hypocoatings, with rarer occurrences of nodules.

Variation in the type and frequency of pedofeature distribution is observed through the Trench 36A7 sequence. Unit 5 is characterized by an approximately equal abundance of calcitic and gypsic pedofeatures. These frequently take the form of dense complete-incomplete infillings of voids with microspartic subhedral equant calcite crystals or of lenticular-tabular microcrystalline gypsum crystals (Figure 7A). Coatings and hypocoatings of grains by microcrystalline gypsum or microspartic calcite are also common. Pumice grains frequently exhibit peculiar-dotted weak-moderate alteration into microspartic calcite. Also associated with this unit are rare occurrences of clay silt and calcite grain capping, and pendent cements.

Unit 3 is characterized by an increase in abundance of gypsic pedofeatures through the unit. These features take form as intercalations of tabular and lenticular gypsum crystals in the groundmass, and dense, continuous infillings of voids, forming a vermiform structure. Also present are common occurrences of gypsic pendant cements and grain coatings (Figure 7C); frequently these are found in association with calcite pendant cements and impure calcite-clay coatings, forming compound pedofeatures (Figure 7B). Through Unit 3, the frequency of gypsum in the groundmass increases, allied to a general decrease in crystal size (with microcrystalline gypsum becoming more frequent), and the appearance of rare typic gypsum nodules. Calcitic and textural pedofeatures are also present through Unit 3. These take form as very rare occurrence of calcified root tissue and rare occurrences of discontinuous microspartic void infillings. Large clasts within this unit are commonly characterized by layered coatings. This is evident in Figure 7D, in which a compound coating comprising multiple convolute lamina of micritic calcite and impure clay overlain by a layer of clay with sand size (volcanic glass and feldspar grains) particles, dusty clay micritic calcite lamina, and a hypocoating of microcrystalline and crystalline gypsum.

The contact between Unit 3 and Unit 2b (MM2a) is characterized by an abrupt convolute-broken boundary (Figure 7E). Associated with the boundary are sub-vertical passage features in Unit 3, and rare intraclasts comprising material from Unit 3 in the lower parts of Unit 2b. Associated with the contact in Unit 2b is an increase in frequency of sand-size pumice and rhyolite fragments, frequently with peculiar-dotted alteration into calcite. Unit 2b is characterized by the absence of gypsic pedofeatures and crystal intergrowths in the groundmass (Figure 7H). Calcitic crystalline pedofeatures are common and comprise microspartic-micritic calcite and clay and weakly impregnative micritic calcite hypocoatings around grains and obsidian fragments (Figure 7G). At the base of the unit, redoximorphic features are present. These take form as rare discontinuous void coatings or spherule-shaped Fe/Mn accumulations (Figure 7F), and rare compound aggregate and compound impregnative typic nodules of Fe/Mn. In the upper part of Unit 2b these redoximorphic features are absent.

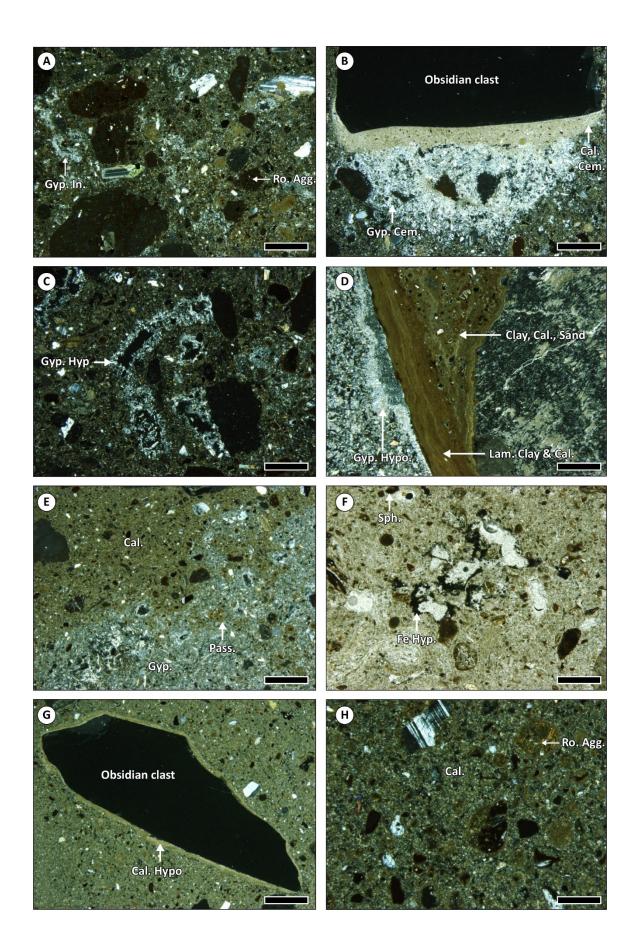


Figure 7. Photomicrographs of key micromorphological features in the Barozh 12 sequence. Scale bar = 500 μm. A full description of the microfeatures is in the main text. A) Microstructure of Unit 5, showing presence of gypsum infillings of voids (Gyp. In.) and rounded clay aggregates (Ro. Agg.). B) Obsidian clast from Unit 3 with a compound pendant cement of calcite (Cal. Cem.) and gypsum (Gyp. Cem.). C) Microstructure of Unit 3 showing gypsum hypocoatings (Gyp. Hyp.) of voids. D) Compound coating of a clast in Unit 3. Coating comprise calcite with clay and sand grains (Clay, Cal., Sand), irregularly laminated clay-calcite (Lam. Clay & Cal.) and gypsum (Gyp. Hypo.). E. Contact between Unit 3 and 2b showing difference in calcitic groundmass (Cal.) that characterizes Unit 2b and the gypsic (Gyp.) groundmass that characterizes Unit 3. Also shown is a passage feature (Pass.) associated with the boundary. F) Fe/Mn hypocoatings (Fe Hyp.) and spherules (Sph.) in Unit 2b. G) Obsidian clast with a micrite-microspartic hypocoating (Cal. Hypo.) from Unit 2b. H) Microstructure of Unit 2b showing the micritic-microspartic groundmass (Cal.) and the presence of rounded clay aggregates (Ro. Agg.).

# 3.3 Sediment geochemistry

Elemental concentrations of selected major and minor elements (Al, Si, P, S, K, Ca, Ti, Fe, Zn, Rb, Sr, Zr and Ba) for Trench 36A7 and 49H1 are presented in Figure 8. A principal component analysis (PCA) was undertaken using normalized (log-transformed) concentrations of the selected elements to identify and characterize differences between stratigraphic units (Figure 9A). Results of this analysis reveal that the first two principal components account for 80.56% of the variance in the dataset (PC1 = 59.67%, PC2 = 20.83%). While high Ca values contribute to negative loadings on PC1, elevated values of Ti contribute to positive loadings. In contrast, positive loadings of PC2 are controlled by elevated values of Ca, Zn, Fe and Al, whereas negative loadings are influenced by high values of Sr and Zr. Evident in the PCA is differential clustering of units based on their elemental composition with Units 5 and 3 having differences in bulk chemical composition compared to the rest of the Barozh 12 strata. The spread of data points associated with Unit 3 observable in the PCA is the function of marked shifts in the major and minor elemental concentrations through this unit, with decreases upwards in the observed concentrations of Si, P, K, Ti, Fe, Rb and Zr.

Elemental ratios of Zr/Rb, Ti/Al, K/Ti, Ba/Sr and Si/Al are plotted against depth in Figure 9B and although there is considerable variability, three broad trends can be identified. First, Zb/Rb ratios vary

throughout both Trench 36A7 and 49H1 sequences in concordance with particle size ( $Zr/Rb - d50 r^2 = 0.64 [n=17]$ ), i.e. high Zr/Rb ratios are found in association with a coarser particle size distribution. Second, decreases in three of the elemental ratios (Ti/Al, Ba/Sr and Si/Al) are observable upwards through Unit 3. Conversely, K/Ti ratios show an increase through this unit. Third, there are spatial differences in elemental ratios within the same unit. This is most apparent in Unit 3, where the decreasing trends in three element ratios (Si/Al, Ti/Al and Ba/Sr) observable in Trench 36A7 are not apparent in 49H1.

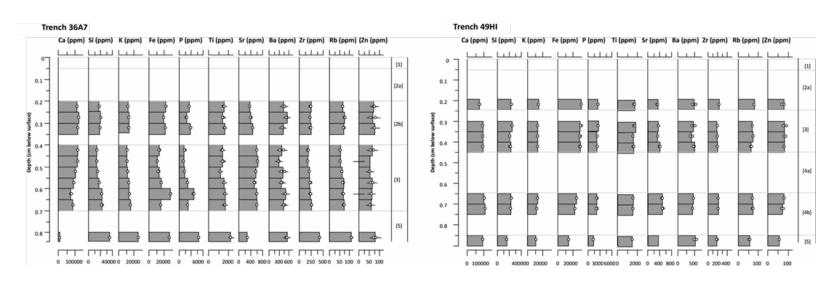


Figure 8. Plotted elemental concentrations of selected elements from Trench 36A7 (left graph) and 49H1 (right graph). White circles indicate corrected concentrations (in ppm), black horizontal lines indicate 1  $\sigma$  standard deviation measurement error. Grey bars indicate median values based on repeat measurement.

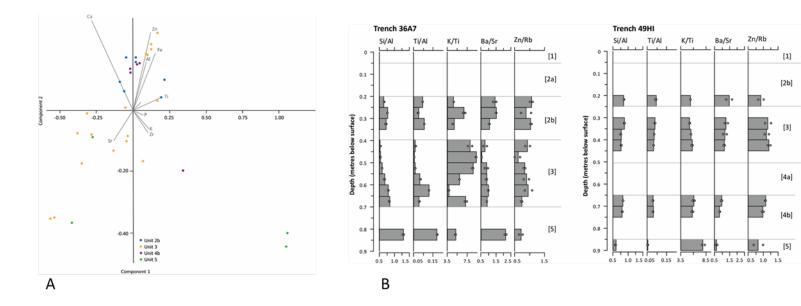


Figure 9: (A) PCA of elemental concentrations (Al, Si, P, K, Ca, Ti, Fe, Zn, Rb, Sr, Zr, Ba) from the Barozh sequence. Data are normalized (log-transformed) and different units represented by different color circles, (B) ratios of selected elements (Si/Al, Ti/Al, K/Ti, Ba/Sr, Zn/Rb) from Trenches 36A7 and 49H1.

## 3.4 Sedimentological interpretation

The elevated  $\chi^{\text{lf}}$  and coarse, poorly sorted particle size distribution of Unit 5 is consistent with ignimbrite, while the presence of calcium carbonate supports the field observations of *in situ* weathering that is in part caused by incipient pedogenesis prior to the deposition of the overlying stratigraphic units.

The sedimentological properties of Units 4 – 2 are consistent with alluvial sedimentation. Such an interpretation is suggested by the grain size distribution of the strata, which show generally poorly sorted textures (Figure 6) and the presence of isolated sub-rounded to sub-angular granule–pebble sized clasts within Units 4b, 3 and 2b. Clasts with a similar morphology and size also comprise Unit 4a, indicating this stratum was deposited by moderate energy alluvial processes. An alluvial genesis is also indicated by the presence of diverse volcanogenic lithologies, suggesting the transport of material from outside of the Barozh 12 locale. The presence of perlite and obsidian clasts indicates erosion of material likely occurred in the area north of Barozh 12, where these deposits outcrop locally and were subsequently transported to the site (Figure 2A).

The sedimentological properties of Unit 3 and Unit 2b are consistent with low energy alluvial sedimentation. Increasing carbonate and clay content upward through both of these units indicates these strata were subject to pedogenesis, resulting in carbonate enrichment and clay illuviation. It is important to note, however, that there is a sharp boundary between Unit 3 and Unit 2b, indicating a likely break in sedimentation between the two overbank phases and probably also, truncation of the lower unit. Unit 4a, which only occurs as a localized stratum within Trench 49H1, is interpreted as a primary channel, or the lag of a channel deposit, and Unit 4b to represent lower energy alluvial sedimentation. The formation of Unit 2a is attributed to colluvial processes while Unit 1 is the present-day soil which has developed within the colluvium of Unit 2a.

It is most probable that local and regional volcanic lithologies provide the ultimate source of the majority of particles forming the alluvial sediments. This is suggested by the high  $X^{lf}$  values observable throughout the sequence, these reflecting inputs of ferrimagnetic minerals and grains derived from igneous sources (Dearing 1999). Decreases in  $X^{lf}$  upward through both sequences are concomitant with increase in  $CaCO_3$ , reflecting the diamagnetic nature of the latter constituent (Dearing ,1999), rather than a shift in sediment supply. Such an interpretation is supported by the relatively constant Ti concentration observable through the sequences in both Trench 36A7 and 49H1. As Ti concentration is highly variable in different igneous rock types (Sayyeed and Hundekari 2006), the consistent values observed in the Barozh 12 sequence likely reflect unchanging parent material (i.e. alluvial reworking of principally locally-derived material was occurring, rather than the incorporation of far-traveled material from other igneous sources). The high  $\%\chi^{fd}$  values observed throughout the Barozh-12 sequence are also likely inherited from volcanic material rather than developing as a result of pedogenesis (c.f. Srivastava et al., 2012).

The micromorphological properties of Trench 36A7 are consistent with the inferred multiple phases of incipient soil formation within the fine-grained alluvium (Units 3 and 2b) and ignimbrite (Unit 5). Evidence for illuviated fine-grained material is evident throughout Units 2b, 3 and 5, and is also seen in the presence of impure calcite-rich clay coatings on grains and rounded aggregates. The occurrence of laminated coatings (Figure 7) suggests that the illuviation process was active over several events (Kuhn, 2003), each related to distinct phases of pedogenesis. Silt—clay capping of grains observable in Units 3 and 5 is likely associated with the desiccation or freeze-thaw of the sediments and which resulted in the rapid physical translocation of coarse material from the upper part of the sediment sequence, and its accumulation in the lower part (Van Vliet-Lanoe et al., 2004). Weathering and thus pedogenesis is also suggested by the presence of clay-calcite aggregates and sedimentary lithic fragments throughout the sequence. Indeed, the presence of calcite pedofeatures throughout the Barozh-12 sequence suggests accumulation of calcium carbonate as a result of dissolution, transportation, and reprecipitation of calcite

in semi-arid environmental conditions (Durand et al, 2010). Specifically, the presence of pendant cements and hypo-quasi calcite coatings indicate their formation in the vadose zone in association with percolation of water through the sequence (Durand et al. 2010). The abundance of gypsic features within Unit 3 is consistent with the evaporative concentration of Ca<sup>2+</sup> and SO<sub>2</sub>-<sup>4</sup>. The occurrence of these features in Unit 3 suggests a lowering of the water table and desiccation of sediments after deposition, with later formation of gypsum due to a fluctuating water table rich in Ca<sup>2+</sup> and SO<sup>2-</sup>4. Moreover, the absence of rounded gypsum crystals in the groundmass in Unit 3, allied to the presence of gypsum infillings, pendant cements and nodules, strongly suggests in situ intrusive growth of gypsum, with the vermiform structure observable throughout Unit 3 indicative of progressive enrichment of gypsum in the phreatic zone over time (Toomanian et al. 2001). The increase in the abundance of microcrystalline gypsum towards the top of Unit 3 is likely related to an increased distance from the water table (Yamnova, 1990, Toomanian et al. 2003). Fluctuating water table elevations during the period of gypsum formation in the Trench 36A7 sequence is evident through the presence of pendant cements, indicative of gypsum formation associated with the downward gravitational movement of water in the vadose zone, supported by the presence of weakly impregnative-type nodule formation, the latter frequently polyphasic in nature. The source of sulfur forming these features, however, is unlikely to be associated with the dissolution of calciumsulphates in the local bedrock (Poch et al., 2010). The principal geological formations in the Barozh locality are felsic lavas and pyroclastic deposits, both of which have a low sulfur content (Gill 2010). Thus, it is more probable sulfur has been derived from an extra-local source, likely by aeolian activity.

The redoximorphic features that characterize Unit 2a are associated with the segregation of iron-manganese oxides due to wetting and drying over numerous redox cycles (Lindbo et al., 2010). Furthermore, the presence of both impregnative and intrusive features is consistent with the accumulation of oxidized Fe and Mn. This is associated with a shift to aerobic conditions after a prolonged

period of water saturation (Lindbo et al. 2010), an observation that is consistent with relatively high Fe concentrations observed in Unit 2a in comparison to the rest of the sequence.

Changes in the ratio of Zr/Rb, Ti/Al, Ba/Sr and Si/Al have previously been shown to reflect weathering processes (Ti/Al, Si/Al, and Ba/Sr), particle size shifts (Zr/Rb), and changes in source material in areas with volcanic parent material (Dypvik and Harris, 2001; Sheldon and Tabor, 2009). Hence the ratios can also be used to infer the intensity of weathering and pedogenesis through the Barozh 12 sequence (Figure 9B). Decreases in Ti/Al, Si/Al and Ba/Sr ratios through Unit 3 are thus interpreted as reflecting enhanced weathering intensity upwards through the stratum. This would have acted to increase the accumulation of clay forming minerals (resulting in higher Al concentrations), and cause leaching of more soluble minerals through the sequence (as observable in the relatively lower Sr and Ba concentrations through Unit 3 [Sheldon, 2009]). Translocation of clay-forming minerals in Unit 3 is also indicated by decreasing concentrations of K and Fe upwards through the stratum (Sheldon and Tabor, 2009).

In summary, the sedimentary evidence from Barozh 12 indicates sediment accumulation in an alluvial setting and alternating between overbank sedimentation and deposition in a channel. Deposits forming by colluvial processes, albeit onto the floodplain, complete the depositional story. There are at least four phases of pedogenesis, the first within upper strata of the ignimbrite and occurring prior to alluvial deposition, the second associated with the deposition of Unit 3, the third during the deposition of Unit 2b and the last in the recent past. Micromorphological evidence suggests that there was an interval of water table reduction and aridity between the deposition of Unit 3 and Unit 2b and that this caused gypsic enrichment of Unit 3. The shift to slightly more humid and higher water table conditions may coincide with the deposition of the overlying alluvial stratum (Unit 2b).

## 3.5 IRSL chronology

The D<sub>e</sub> values, dose rates and age estimates from IRSL dating are summarized in Table 4 (see also Appendix A). The age estimates for the two lower samples Barozh-OSL-3 from Unit 5 and Barozh-OSL-2 from Unit 3 are 50.7 ± 6.1 ka and 60.2 ± 5.7 respectively, and both are statistically consistent with each other at 2σ. The two components identified for the uppermost sample (Barozh-OSL-1 from Unit 2b) yielded ages of  $31.3 \pm 3.0$  and  $7.1 \pm 1.3$  ka, respectively. The presence of relatively young grains (dated to ~ 7 ka) in Barozh-OSL-1 might be caused by bioturbation including rooting as observed in Units 1, 2a, and 2b in the form of modern roots, root casts, and rhizoliths (Table 1). The age of the dominant component in Unit 2b (31.3 ± 3 ka) is at the younger end of the range of published ages from the MP sites of Lusakert 1, Kalavan 2, and Bagratashen 1, which all have similar MP lithic technology, and are currently estimated to date to ~ 30 - 60 ka (Adler et al. 2012; Ghukasyan et al. 2011; Gasparyan et al. 2014; Egeland et al. 2016). Furthermore, all of the artifacts in Units 2b, 2a, and 1 were made with the same core reduction techniques, and there is no change in techno-typology upwards through this part of the sequence (Glauberman et al. unpublished data). Consequently, there is no archaeological evidence to indicate human occupation at 7 ka, even in Units 2a, 1, and on the surface, and therefore, we suggest that the age estimated for Barozh-OSL-1 based on the dominant group (~ 31 ka) should represent the depositional age of Unit 2b.

### 3.6 Biomarker analysis

Long-chain n-alkanes ( $nC_{25}$ - $nC_{35}$ ) with a high odd-over-even predominance (OEP, Equation 1) are an important component of the epicuticular waxes of higher plants (Eglinton and Hamilton, 1967).

$$OEP = \frac{c_{25} + c_{27} + c_{29} + c_{31} + c_{33} + c_{35}}{c_{25} + c_{27} + c_{29} + c_{31} + c_{33} + c_{35}}$$
(Eq. 1)

This wax protects the plant from water loss, microbial attack and UV damage (Jetter et al., 2006). Studies have demonstrated that the relative abundance of *n*-alkanes produced by plants changes in response to environmental variables such as temperature and humidity (Bush and McInerney, 2013, 2015). It has also been demonstrated that the average chain length (ACL, Equation 2) of *n*-alkanes extracted from modern sediments correlates to mean annual vapor pressure deficit (VPD, Equation 3) (Eley and Hren, 2018).

$$ACL = \frac{25 \times C_{25} + 27 \times C_{27} + 29 \times C_{29} + 31 \times C_{31} + 33 \times C_{33} + 35 \times C_{35}}{C_{25} + C_{27} + C_{29} + C_{31} + C_{33} + C_{35}}$$
 (Eq. 2) 
$$VPD = 1.3125 - \sqrt{14.1208 - 0.4629 \times ACL}$$
 (Eq. 3)

Given that *n*-alkane molecules are resistant to degradation over long time scales (Schimmelmann et al., 1999) and their response to environmental variables, they are therefore a useful paleoclimate proxy. Previous work at Middle Paleolithic sites has employed these molecular proxies in direct association with archaeological materials to interpret paleoclimate (Krajcarz et al., 2010; Galván et al., 2014; Leierer et al., 2019; Connolly et al., 2019).

OEP values for all samples are above 3, indicating that lipids did not undergo significant microbial alteration (Table 5). The two samples from Unit 5 are similar to Unit 3 in their distribution of n-alkanes, with high ACL (range 29.71–30.02, mean 29.87) and a correspondingly high VPD (range 0.71–0.84, mean 0.77). The most abundant n-alkane homologue in these samples is n-C29, with the exception of the lowermost sample (75–80 cm), whose most abundant homologue is n-C31. The difference in both the ACL (p < 0.001) and the VPD (p < 0.001) between Units 3 and 2 is statistically significant. An abrupt shift in n-alkane distribution occurs in Unit 2, with a lower ACL (range 28.13–29.14, mean 28.56), corresponding to a lower reconstructed VPD (range 0.26-0.52, mean 0.37). The most abundant n-alkane homologue in

samples from Unit 2 is n-C25. *n*-alkane distributions in Unit 3 are similar to the modern A horizon (Unit 1), with a higher ACL (range 29.31–30.50, mean 29.82) and higher reconstructed VPD (0.57-1.27, mean 0.80) than Unit 2. Modern A horizon (Unit 1) *n*-alkanes have an ACL of 30.13. The most abundant *n*-alkane homologue is n-C31. Leaf lipid distributions are similar to other MP sites in the Armenian highlands (Brittingham et al., 2019), and reveal a changing environment during the presence of hominins at Barozh 12.

## 3.7 Artifact analysis

In total, 17,317 obsidian artifacts objects were recovered from 4.85 m³ of excavated sediment from all trenches. All artifacts were ascribed to the MP on techno-typological grounds, for example, dominant unidirectional-convergent and unidirectional Levallois core reduction techniques. Levallois points, retouched Levallois points, Mousterian points, and single and double scrapers dominate the tool assemblages throughout the sequence (Figure 10). The proximal ends of blanks and tools frequently bear evidence of secondary modification in the form of truncation and faceting. As such, the Barozh 12 MP artifacts appear similar to those from other MP sites dated to ~ 60 – 30 ka in Armenia (e.g. Lusakert 1, Bagratashen 1), Georgia (e.g. Ortvale Klde), and the northern Levant (e.g. Hummal, Umm El Tlel) (e.g. Adler 2002, Golovanova and Doronichev 2003, Adler and Tushabramishvili 2004, Adler et al. 2006, Meignen and Tushabramishvili 2006, Hauck 2011, Moncel et al. 2013, Gasparyan et al. 2014, Boeda et al. 2015, Moncel et al. 2015, Glauberman et al. 2016). In all strata, all but the earliest stages of core reduction are represented to varying degrees. Tool (or retouch) frequency varies according to density among stratigraphic units (cf. Barton and Riel-Salvatore 2014), indicating differential occupational intensity and changes in emphasis on tool production versus use and discard over time. No faunal material was

encountered. The following analysis of artifact condition and vertical size distributions focus on Trenches 36A7, 47J1, and 47T1 (Tables 6 and 7).

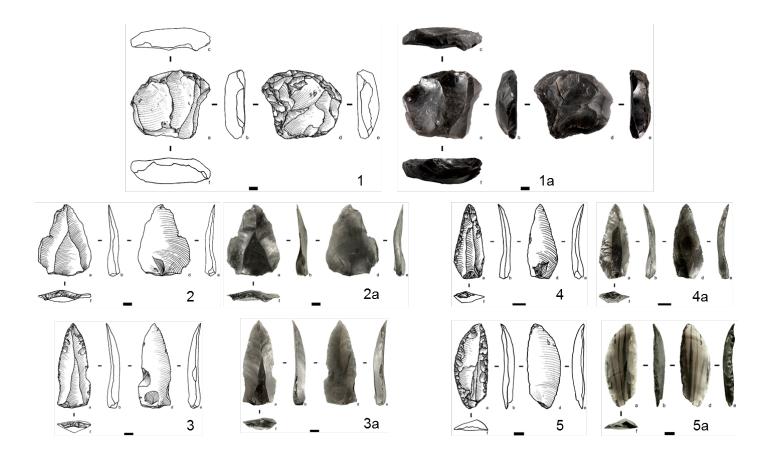


Figure 10. Selected Middle Paleolithic obsidian artifacts from Barozh 12: 1-1a. (after Glauberman et al. 2016) unidirectional-convergent Levallois core (Unit 1+2a); 2-2a. Levallois point (Unit 1+2a); 3-3a. retouched Levallois point (Unit 2b); 4-4a. retouched Levallois point (Unit 3); 5-5a. straight-convex double scraper (Unit 5). Scale bars = 1 cm

## 3.7.1 Artifact breakage and damage

In Trenches 36A7, 47J1, and 47T1, high frequencies of fragmented artifacts occur in all strata (76.7 – 91.6%). The only notable change in breakage frequency within a stratigraphic unit is a decrease in broken flakes from lower to upper Unit 3 (Figure 11). Lower Unit 3 has a higher frequency of broken artifacts than

the uppermost gravelly Unit 1+2a<sup>1</sup>. The high frequency of broken artifacts recovered from lower Unit 3 could relate to higher energy deposition indicated by sands and fine pebbles at the bottom of the fining upward sequence. Otherwise, breakage patterns are relatively consistent throughout the stratigraphic sequence.

Artifact damage patterns closely mirror those of breakage (Figure 10). The frequency of undamaged artifacts is relatively constant from Unit 5 into lower Unit 3. However, the frequency of undamaged artifacts increases from lower to upper Unit 3, then decreases again in Unit 2b. The uppermost Unit 1+2a has the highest frequency of severely damaged artifacts in the sequence, an observation which is consistent with the clast-supported deposit from which the artifacts were excavated. We conclude that artifact damage and breakage are not solely a result of hydraulic transport, and rather could result from the friability and brittleness of obsidian, and breakage *in-situ* due to trampling and sediment compaction (e.g. Gifford-Gonzalez et al. 1985, Pryor 1988, Nielson 1991, McBrearty et al. 1998, Eren et al. 2011, McPherron et al. 2014, Driscoll et al. 2016). Moreover, we cannot rule out artifact damage and breakage having occurred during manufacture and use.

# 3.7.2 Carbonate coatings

In arid to semi-arid conditions calcium carbonate coatings commonly form on clasts and artifacts as a result of CaCO₃ precipitation out of sediments and soils, and as a response to wetting and drying (Gile et al. 1966, Birkeland 1999, Treadwell-Steitz and McFadden 2000, Pustovoytov 2002, 2003). In Units 3 − 5, carbonate crusts cover less than 40% of one surface of most artifacts, mainly their lower surfaces. This differs from the uppermost Units 1+2a and 2b, where the majority of artifacts are covered in carbonate

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<sup>&</sup>lt;sup>1</sup> In the lithics analyses, artifact data from reworked Units 1 and 2a have been combined into one analytical unit (Unit 1+2a).

crusts on both surfaces (Figure 10). This pattern suggests that in Units 2b and 1+2a, carbonate precipitation is consistent with aridity and occurred at a more prolonged duration after artifact deposition (Gile et al. 1966, Treadwell-Steitz and McFadden 2000, Pustovoytov 2002, 2003). The difference in carbonate coverage between upper Unit 3 and Unit 2b indicates a sharp separation marked by the disconformity between these units. This likely divides recent pedogenic carbonate precipitation related to Holocene semi-arid climate and vegetation regime, from older and different prevailing aridity and vegetation regimes during the deposition of and pedogenesis within Units 5 – 3. This pattern is consistent with the results of sedimentology, micromophology, and biomarker analysis presented earlier.

### 3.7.3 Artifact weathering

Artifacts made on siliceous rocks develop weathering rinds, or patina, in sub-aerial and buried contexts according to the prevailing geochemical conditions and humidity, pH, and temperature. The degree and symmetry of artifact weathering broadly represents the extent to which artifact surfaces were exposed to conditions that induce weathering (Röttlander 1975, Burroni et al. 2002, Glauberman and Thorson 2012, Thiry et al. 2014, Caux et al. 2018). Obsidian develops hydration rinds through the absorption of water due to similar factors that induce patina on siliceous rocks (Friedman et al. 1966, Anovitz et al. 2006, Anovitz et al. 2008). In the Barozh 12 sequence, patterns of artifact weathering vary between stratigraphic units (Figure 10). For example, the artifacts from lower Unit 5 show significantly increased weathering severity and symmetry compared to upper Unit 5 ( $\chi^2 = 8.96$ , df = 3, p = 0.033), while no un-weathered artifacts were found in the unit. This pattern may relate generally to the increased humidity during the deposition of Unit 5 observed in biomarker analysis. Upper Unit 5 has a similar pattern of artifact weathering severity and symmetry to lower Unit 3 ( $\chi^2 = 0.457$ , df = 3, p = 0.928), commensurate with its similar grain size, the diffuse contact between upper Unit 5 and lower Unit 3 and probably similar

post-depositional processes operating in these sub-units. Lower Unit 3 has more severely weathered artifacts compared to upper Unit 3, a property that may relate to downward mineral translocation associated with pedogenesis. However, upper and lower Unit 3 do not differ significantly in weathering category frequency ( $\chi^2 = 6.12$ , df = 3, p = 0.105). In upper Unit 3, the frequencies of severely weathered artifacts and those weathered on one side are significantly less than in overlying Unit 2b ( $\chi^2 = 12.4$ , df = 3, p < 0.006). This may result from a combination of lower soil humidity in upper Unit 3, in which weathering may have been less intense than in Unit 2b but progressed on all artifact surfaces due to a homogenous matrix that is conducive to mineral translocation and also a consistent pH. The lithic artifact assemblages from the uppermost Units 2b and 1+2a have higher frequencies of moderately and severely weathered artifacts than other units. However, Unit 2b has a mix of weathering categories and a higher frequency of un-weathered artifacts than Unit 1+2a. In both strata, ~ 40% of artifacts are weathered on one side only. Asymmetrical weathering may have occurred *in-situ* on artifacts lying flat prior to colluvial reworking in Unit 1+2a. In Unit 2b, asymmetrical weathering in a relatively fine-grained alluvial context suggests that artifacts remained in a flat position during weathering associated with soil humidity and variation in microlocal geochemical conditions.

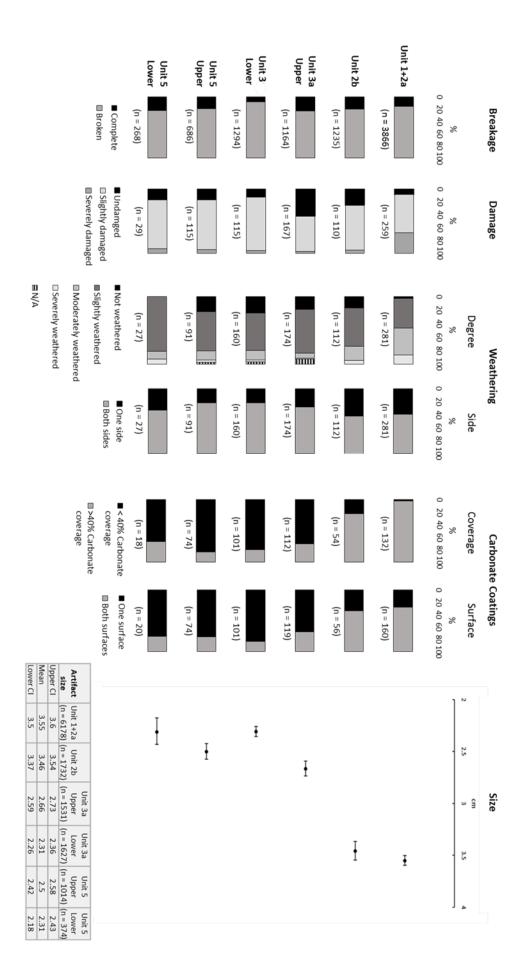


Figure 11. Frequencies of artifact preservation variables, and mean artifact size (maximum dimension) with bootstrapped upper and lower 95% confidence intervals (CI) according to stratigraphic (sub-)units. Non-overlapping interval ranges indicate significant differences. Breakage data from cores, blanks, flakes < 2cm in maximal dimensions, angular debris excluded. Artifact size data from all complete and broken artifacts. (see Table 6 for further details)

### 3.7.4 Artifact size distributions

Artifact size sorting due to hydraulic movement has been demonstrated experimentally and has also been tested on archaeological assemblages (e.g. Schick 1986, 1987; Petraglia and Potts 1994; Bertran et al. 2012, Sitzia et al. 2012). In this study, we utilized Schick's (1984, 1987) experimental data, as they were collected on artefacts that were treated using comparable methods to those employed at Barozh 12, including the screening of archaeological sediments through 5mm mesh and linear artifact measurements using calipers. Schick (1987) and Bertran et al. (2012) include complete and broken artifacts in their analyses of size sorting. The data we analyze here therefore includes all artifact classes (Table 6) and both complete and broken artifacts. It is worth noting that lithic raw material type and different experimental artefact manufacture techniques (e.g. replicating Oldowan and Acheulean artifacts [Schick 1987] and discoidal and Levallois blank production [Bertran et al. 2012]) can produce minor variation in resulting artefact and debris size distributions. However, Bertran et al. (2012) show that, overall, differences in core reduction techniques and raw materials do not tend to greatly affect artefact size sorting comparisons with archaeological assemblages (Bertran et al. 2012). In undisturbed, experimentally replicated core reduction and tool manufacture datasets, artifacts < 2 cm and small flaking debris (SFD), comprise ~ 60 - 80% of assemblages. SFD has been shown to be more susceptible to hydraulic displacement than larger and thicker artifacts (Schick 1986, 1987, Kuman and Field 2009, Lotter et al. 2016).

At Barozh 12, artifact size generally increases upwards through the sequence (Figure 11), while vertical artifact size distributions show distinct differences between and within stratigraphic (sub)units. Artifacts increase in mean size upwards within Units 3 and 5, but only do so significantly in Unit 3. The stratigraphic disconformity between Unit 3 and Unit 2b marks a substantial increase in mean artifact dimensions. Artifacts in Units 2b and 1+2a are significantly larger on average than artifacts from underlying strata (Figure 11).

Comparison of distribution curves of artifact maximal dimensions for assemblages recovered from squares 47J1, 47T1, and 36A7 at Barozh 12 and Schick's (1987) mean distribution of 107 undisturbed experimental assemblages shows differences among strata (Figure 12A). Artifact size distribution patterns in stratigraphic Units 5 – 3 are consistent with those observed at other sites in low-energy alluvial contexts that indicate minimal disturbance and size sorting of artifacts, and that knapping occurred in the vicinity of the excavated area (e.g. Schick 1984, Bertran et al. 2012, Hovers et al. 2014, Pei et al. 2014, Li et al. 2016, Lotter et al. 2016, Li et al. 2018). Units 1+2a and 2b have an upwards trend of increasing artifact size thereby suggesting winnowing of artifacts < 2 cm in maximal dimensions.

We also compared artifact size class frequencies from Barozh 12 with replicated assemblages placed in different fluviatile depositional settings, that were subsequently excavated and analyzed after disturbance (Schick 1984). Figure 11B shows that artifact size frequency distributions from Units 5 – 3 all resemble those from Schick's (1984) data on assemblages reworked in levee and sandy stream channel bank and bar contexts. However, the artifact size frequency distributions in Units 5 – 3 best approximate the mean size distribution for undisturbed experimental assemblages (Schick 1986, 1987), and appear less winnowed than the sandy channel and bar contexts. It is noteworthy that the Levallois dominant artifact assemblages made of fine-grained and brittle obsidian analyzed here closely resemble Schick's (1986, 1987) experimental data compiled for Oldowan and Acheulian artifact replications on coarse-grained, less brittle basalt. Units 2b and 1+2a fall well outside the size distribution of experimental artifact assemblages

recovered from channel and stream side deposits, potentially indicating reworking by non-fluvial processes such as colluviation or other slope processes.

Lower Unit 5 appears less winnowed than upper Unit 5 based on reduced frequency of artifacts < 2 cm, which may indicate reworking in the upper part of the unit associated with onset of relatively high energy deposition of sandy, pebbly sediments associated with the formation of lower Unit 3. This is commensurate with the diffuse contact and similar grain size of the upper part of Unit 5 and lower part of Unit 3. The artifact assemblage from lower Unit 3 appears more winnowed (Figure 12A) and artifacts are more damaged and broken than the assemblage from upper part of that unit (Figure 11). This coincides with the fining upward sequence indicating a decrease in depositional energy during the formation of Unit 3.

In summary, these analyses suggest that the artifact assemblages from Units 5 – 3 are comparatively less disturbed than the uppermost units, and were subject to the mild winnowing of artifacts < 2 cm that is expected in fluvial contexts (e.g. Bertran et al. 2012, Sitzia et al. 2012, Hovers et al. 2014). Increase in size upwards within stratigraphic (sub)Units 5 and 3 (Figure 11) suggests downward vertical migration of artifacts, possibly due to bioturbation, pedogenesis, and trampling (Vermeersch and Bubel 1997, McBrearty et al. 1998), albeit that no large krotovinas or burrows were observed in the field. The disconformity observed at the contact of Units 2b and 3 likely represents a hiatus in artifact and sediment deposition, or the removal of both sediment and artifacts. The artifact assemblages recovered from Units 2b and 1+2a underwent moderate to severe disturbance, likely involving transport and redeposition by alluvial and colluvial processes.

At present, we conclude that artifact assemblages in Units 5-3 have been minimally disturbed by hydraulic movement and therefore represent the best material with which to reconstruct hominin behavior. Comparison of artifact size distributions with Schick's (1984) experimental data suggests that

artifacts in these units were deposited in levee, overbank, or proximal floodplain settings, an interpretation that is consistent with the granulometry and micromorphology results. Units 1+2a represent winnowed and disturbed assemblages in reworked deposits that were later deflated, consolidating larger artifacts and clasts.

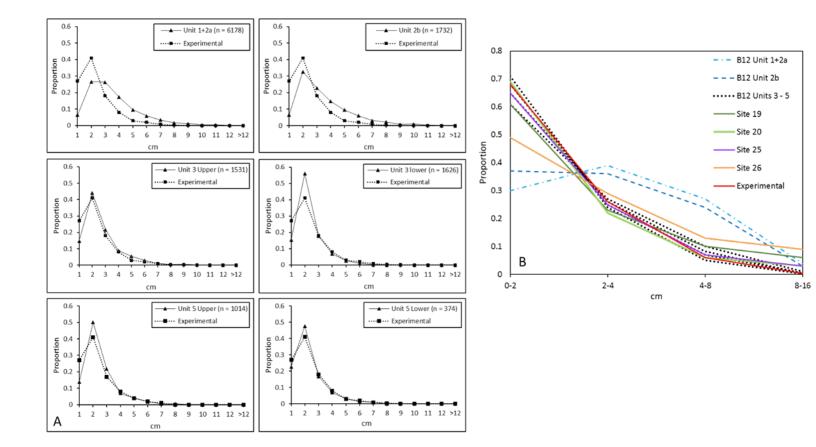


Figure 12. (A) Comparing artifact size classes from Barozh 12 by stratigraphic unit from Trenches 36A7, 47T1, and 47J1 (data from all complete and broken artifacts, Table 6) with mean distributions from 107 undisturbed experimental assemblages (Schick 1987). (B) Comparing artifact size class frequencies from Barozh 12 (B12) Units 1+2a, 2b (light blue and blue) and Units 3 – 5 (black dotted lines) with experimental results in varying contexts: undisturbed (Schick 1987; red); Site 19: channel sand bar (Schick 1984: 324; dark green); Site 20: channel bank (Schick 1984: 331); Site 25: levee (Schick 1984: 365; purple); Site 26: sand bar in moderate sized channel (Schick 1984: 368; orange) (after Hovers et al. 2014).

### 4.Discussion

### 4.1 Formation of the Barozh 12 sequence

Using the IRSL chronology, sedimentological, archaeological, and biomarker evidence described earlier, it is possible to build a model of site formation processes at Barozh 12. Subsequent to the emplacement of the basal ignimbrite deposits ~ 650 ka (Gevorgyan et al. 2018), there is evidence for at least one phase of relative landscape stability, which resulted in the pedogenic modification of the upper part of the ignimbrite. The earliest preserved occupations at the site are indicated by artifacts recovered from this weathered ignimbrite deposit (Unit 5). Aside from evidence of breakage and slight edge damage, there was minimal artifact disturbance by reworking and winnowing (artifacts < 2cm) in this context (Unit 5), and analysis of biomarkers indicates a humid – semi-arid environment.

The onset of sediment deposition at the site, as represented by the earliest alluvial strata, occurred  $\sim 60-50$  ka. Stream activity at the locality resulted in the deposition of both overbank and channel deposits at the site. These sediments contain a mixture of volcanogenic material, likely derived from the basal ignimbrite and material elsewhere in the catchment indicating transport of material from outside of the Barozh locale. The absence of imbricated clasts within Units 4a and 4b preclude an assessment of flow direction, however, we hypothesize that this material was deposited from southward trending streams flowing from the Aragats neovolcanic area into the Ararat Depression. Associated with this phase of alluvial activity were several phases of incipient pedogenesis, likely in a semi-arid environment and accompanied by a fluctuating water table. Analysis of artifact size distribution indicates that artifacts recovered from Unit 3 were only minimally affected by fluvial winnowing and post-depositional reworking in an overbank or floodplain context. These intact archaeological deposits indicate hominins occupied this locality throughout the alluvial deposition and pedogenic alteration of the Unit 3 sediments.

Sedimentological, micromorphological, and chronological data from Trench 36A7 indicate a hiatus between the deposition of Units 3 and 2, which, based on the differences in IRSL age estimates between Units 3 and 2b, may represent an interval of approximately 20 kyr. We hypothesize that during this interval, there was a shift to greater aridity, resulting in the transportation, deposition and subsequent deflation of fine-grained material by aeolian processes. This interpretation is supported by the presence of gypsic features in Unit 3, which would require an extra-local source of sulfur to enable precipitation. Furthermore, artifactual evidence from the upper part of Unit 3 and Unit 2b indicates a relatively high frequency of damage and weathering, consistent with sub-aerial reworking of material. Based on our current evidence, the source of the aeolian material cannot be elucidated. However, there is evidence for lower water levels and the sub-aerial exposure of sulphide-rich lake sediments in the Black Sea during MIS 3 (Lericolais 2007; Wegworth et al., 2016), and which may have provided a source. A further source may have been the Ararat Depression. However, based on the currently limited evidence from the area, the timing and duration of lake development in the Ararat Depression remain ambiguous.

A second phase of alluvial deposition (Unit 2b) at approximately 31 ka followed the deposition and subsequent deflation of aeolian material, the former was characterized by overbank sedimentation and incipient pedogenesis with colluvial processes also contributing to sediment input, albeit at the end of the alluvial cycle. Results of the *n*-alkane analysis provide evidence for a more humid environment associated with the deposition of Unit 2 than in Units 3 and 5, data that are also in line with the micromorphological evidence. The notable increase in mean artifact size from Unit 3 to Unit 2b (Figure 11) is likely related to reworking by fluvial processes and moderate sorting and winnowing of the smallest artifacts (< 2cm) in Unit 2b (Figures 11 and 12). Weak pedogenesis is also observed in this unit, while biomarkers indicate that the artifacts in Unit 2b were deposited during a return to a more humid – semi-arid environment.

Incision by southward trending streams occurred following the deposition of the alluvial sediments and resulted in the sustained subaerial exposure of the Barozh 12 deposits and, in all likelihood, the formation of the dissected plateau that is present today. This fluvial incision was most likely tectonically controlled, and a result of a combination of regional uplift, which is estimated to range from 0.2–0.3 mm yr<sup>-1</sup> (Mitchell and Westaway, 1999), and subsidence of the Ararat Depression. Associated with the incision episode was pedogenesis and the formation of the modern soil profile (Unit 1). Clast-rich Units 1+2a shows evidence of higher energy reworking and colluvial (re)deposition under humid – semi-arid conditions (Unit 2a), followed by deflation and Holocene soil formation (Unit 1). Artifacts were more severely reworked in this unit (Unit 1+2a), indicated by increased damage, weathering severity, and the relative absence of artifacts < 2 cm in maximal dimensions (Figures 11 and 12).

### 4.2 Regional climatic and environmental context

Sedimentology and the biomarker evidence in the Barozh 12 sequence provide a record of broad fluctuations in humidity during MIS 3 that is consistent with other regional paleoclimate data. For example, biomarker and authigenic carbonate isotope records from Lake Van show high  $\delta^{18}$ O and  $\delta$ D values during the last glacial period (MIS 4 – 2, c. 71 – 11.7 ka), suggesting arid conditions (Stockhecke et al. 2016, Randlett et al., 2017). Moreover, pollen records from Lake Van (Litt et al., 2014) and Lake Urmia (Djamali et al., 2008) for the same period are dominated by non-arboreal pollen, suggesting an open landscape. However, regional data also demonstrate that the aridity of the last glacial period in these localities was punctuated by intervals of more humid conditions. For example, lake levels in Lake Van, Lake Urmia and Lake Zeribar are generally high at 30 – 35 kya, suggesting a humid environment (Snyder et al., 2001; Djamali et al., 2008; Çağatay et al., 2014). Speleothem records from Anatolia at Sofular and Dim Caves, also provide evidence for increased regional moisture during MIS 3 (Fleitmann et al., 2009;

Badertscher et al., 2011; Ünal-İmer et al., 2015). These broad shifts between intervals of arid and relatively humid conditions are reflected in the Barozh 12 sequence. High reconstructed VPD values in Unit 3 and Unit 5 reflect an interval of enhanced aridity between 45 and 65 ka, and perhaps contemporaneous with a shift to increased evaporation in the Lake Van  $\delta^{18}$ O record (Figure 13). Conversely, lower reconstructed VPD in Unit 2b of the Barozh sequence is indicative of more humid conditions around 33 – 28 ka, a period that is coincident with an interval of increased precipitation in the Lake Van  $\delta^{18}$ O record.

However, superimposed on these broad shifts are millennial-scale fluctuations, which would have had significant effects of landscape stability. Indeed, the latter are shown clearly in the Lake Van Ca/K record which acts a proxy for detrital inputs into the lake, and thus soil development and erosion in the catchment (Figure 13B). Rapid fluctuations in the Ca/K record between 50 and 30 ka suggest fluctuating detrital inputs and thus landscape instability during this period. It is important to note, that this interval coincides with the sedimentary hiatus recorded in the Barozh 12 sequence and it may therefore be the case that these changing conditions are linked to intervals of aeolian deposition and deflation at this latter locale. The contemporaneous shifts in environments observed at Barozh 12 and records such as Lake Van, suggests that correlations are possible between local, fragmentary terrestrial archives and regional, continuous paleoenvironmental sequences.

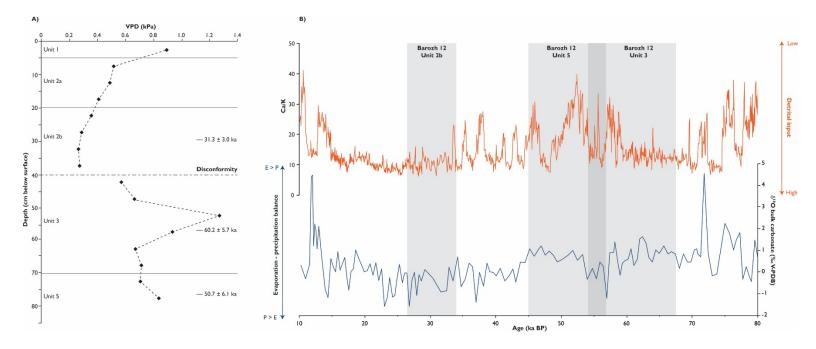


Figure 13. (A) reconstructed VPD values from the Barozh 12 sequence. (B) Ca/K ratios (orange) and  $\delta^{18}$ O bulk carbonate values (blue) for the interval 80-10 ka from Lake Van (Pickarski et al., 2015). Also shown are the measured ages of Barozh 12 Units 2b, 3 and 5. Grey boxes represent age range of Units based on 2  $\sigma$  uncertainty. Note the slight age reversal between Unit 5 and Unit 3, however the ages overlap at 2  $\sigma$  uncertainty.

## 4.3 IRSL age estimates and regional MP chronology

At Barozh 12 we document unchanging lithic technology through a sequence dating between ~ 60 – 50 ka and 31 ka. This latter date can be viewed as a *terminus post quem* for the archaeological material recovered from the moderately reworked, uppermost Unit 1+2a. However, it may also represent a Pleistocene re-deposition age. Potential problems have been reported with IRSL and OSL age underestimation in Armenia, likely associated with the volcanic environment (e.g. Wolf et al. 2015, Egeland et al. 2016). In this study we utilized pIRIR methods to avoid potential age estimation problems. Given this, and despite reworking of sediments above the uppermost IRSL sample location (Barozh-OSL-1), we presently consider this date to be accurate pending further testing with other chronometric methods. The occupational time span at Barozh 12 coincides with that based on preliminary ages for MP deposits at the

Lusakert Cave-1 site in the Hrazdan River Valley, ~ 80 km to the east; the Bagratashen-1 open-air site in the Debed River valley to the north; and the Kalavan-2 open-air site on the northern flanks of the Areguni Mountains north of Lake Sevan to the northwest (Adler et al. 2012, Ghukasyan et al. 2011, Gasparyan et al. 2014, Egeland et al. 2016).

### 5. Conclusion

Few MP open-air sites in Armenia have undergone extensive geoarchaeological, chronometric or paleoenvironmental examination. This study represents the first published account of any open-air Paleolithic site in the Armenian highlands where detailed taphonomic, geoarchaeological, and biomarker studies have been combined within an absolute chronometric framework to understand site formation processes, human activity, and their relationship with climate change. The results of site formation analysis greatly improve our view of diachronic hominin occupations and behaviors at Barozh 12. Artifacts were deposited on relatively stable surfaces, or in low-energy depositional settings. Assessment of variation in artifact assemblage composition across strata therefore provides detailed data on variability in hominin mobility, land use, and technological organization at this site, and in relation to other chronologically contemporaneous MP sites in the region. These behaviors can now be contextualized within the regional climatic and environmental setting.

In the absence of preserved faunal and plant macrofossil remains, artifact preservation and size distribution data, sedimentological, chronometric, and biomarker evidence show that Barozh 12 was occupied repeatedly at the beginning and end of MIS 3 ( $60.2 \pm 5.7 - 50.7 \pm 6.1$  ka and  $31.3 \pm 3.0$  ka) and under changing depositional and climatic conditions. Fluctuations in humidity and landscape stability inferred at Barozh 12 are consistent with data from regional paleoenvironmental records including from sediment cores taken from Lake Van, ~ 200 km away.

Hominins equipped with MP technology frequently re-visited this streamside locality during MIS 3 due to its close proximity to water, abundant obsidian toolstone, and position at the topographic junction of the Ararat basin – Araxes River valley, and the Mt Arteni and Mt Aragats uplands. The artifact assemblages deposited throughout the Units 5 - 3 alluvial sequence are relatively well-preserved, while those in the uppermost stratigraphic units have underdone moderate post-depositional disturbance. Amidst regional, millennial-scale climate variability, and changing aridity regimes of MIS 3, the Barozh 12 locality was likely a 'persistent place' (cf. Schlanger 1990) in MP hominin settlement systems. Despite very different climate and aridity regimes in the early (Units 5 – 3) and late (Unit 2b and 1+2a) stages of MIS 3, hominins frequently re-occupied this site. This indicates that the resources available in the surroundings of Barozh 12 were sufficient to counteract any risks associated with variable climate regimes. Furthermore, the high density of artifacts throughout the sequence indicates that regional MP settlement systems were well established in this part of the Armenian highlands and the Southern Caucasus during those parts of MIS 3 when Barozh 12 was occupied. Obsidian sourcing using pXRF analysis 'tracks' huntergatherer mobility, and published data show that hominins at Barozh 12 made obsidian artifacts and transported them to numerous other sites, including Lusakert 1 cave in the Hrazdan River valley (Frahm et al. 2014, Frahm et al. 2016, Glauberman et al. 2016). This pattern similarly appears in the Lower Paleolithic at Nor Geghi 1, also in the Hrazdan valley (Adler et al. 2014), further demonstrating the longterm importance of Barozh 12 and the Arteni volcanic complex to different hominins who practiced extensive regional mobility systems throughout the Paleolithic. Considering the growing number of chronometrically dated MP sites in Armenia, Barozh 12 represents a key open-air locality with a record of regional MP settlement dynamics during a crucial period for the study of human evolution and population dynamics in southwest Asia.

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# Tables

Unit	Depth (meters below land surface)	Description	Interpretation
1	0.00 - 0.05	10YR 4/3 dark greyish brown (10YR 4/2 moist) humic silt-fine sand with moderate medium sand to granular, and occasional pebble–boulder-sized, sub-rounded tuff clasts. Matrix formed of fine pebble-sized blocky aggregates. Frequent fine and moderate medium roots. Poorly sorted.  Diffuse boundary to:	Modern humic A horizon
2a	0.05 - 0.20	10YR 4/3 dark greyish brown (10YR 4/2 moist) humic silt—fine sand with moderate medium sand to granular and occasional pebble boulder-sized, sub-rounded tuff clasts. Matrix formed of fine pebble-sized blocky aggregates. Frequent fine and moderate medium roots. Poorly sorted.  Diffuse boundary to:	Pedogenically modified (B horizon) colluvial sediments
2b	0.20 - 0.40	7.5 YR 7/2 pinkish white, (5 R 5/3 moist) brown silt to fine sand with moderate medium sand to fine pebble-sized sub-angular carbonate-coated tuff and obsidian clasts. Rarer non-carbonate coated tuff pebbles. Carbonate bonded throughout. Rare fine roots. Well sorted.  Diffuse boundary to:	Pedogenically modified (B horizon) fine-grained alluvial sediments
3	0.40 - 0.70	7.5YR 7/2 pinkish white (5 YR 5/4 moist), clay to fine sand. Frequent sub-angular to fine pebble sized tuff clasts decreasing upward to rare at top (fining up sequence). Rare sub-angular pebble-sized obsidian clasts. Carbonate bonded throughout. Moderately sorted.  Sharp boundary to:	Pedogenically modified (B horizon) fine-grained alluvial sediments
5	0.70 - 0.90	2.5YR 5/6 red, (2.5 YR 5/6 moist) medium to coarse sand. Well sorted.	Weathered ignimbrite (C horizon)

## A Trench 36A7

Unit	Depth (meters below land surface)	Description	Interpretation
1	0.0 – 0 .05	10 YR 5/2 greyish brown (10YR 5/3 moist) humic silt to medium sand with moderate sub-rounded carbonate-coated granular to pebble-sized tuff, and rare cobble-sized sub-rounded carbonate coated tuff clasts. Frequent fine roots. Matrix formed of granular-sized aggregates. Moderately sorted. Diffuse boundary to:	Modern humic A horizon
2	0.05 - 0.25	10 YR/6/3 pale brown (7.5 YR 5/3 moist) clay to medium sand with frequent sub-rounded and sub-angular carbonate- and clay-coated granular sized aggregates, these orientated parallel to the bedding place. Occasional sub-rounded carbonate-coated coarse pebbles. Moderate-fine roots. Moderately sorted. Sharp boundary to:	Pedogenically modified (B horizon) fine- grained alluvial sediments
3	0.25 - 0.50	10 YR 7/3 very pale brown (7.5 YR 5/4 moist) silt—clay fining upwards from medium sand at base. Moderate granular- to medium pebble-sized tuff and obsidian clasts, decreasing in frequency upwards through the stratum. Gravel-sized particles are randomly orientated. Moderately sorted.  Diffuse boundary to:	Pedogenically modified (B horizon) fine- grained alluvial sediments

4a	0.50 -0 .70	10 YR 7/3 pink (7.5 YR/5/4 moist) matrix-supported gravel of sub-rounded carbonate-coated pebbles and cobbles of tuff, perlite and obsidian in a fine sand matrix. Gravel particles mostly lie parallel to bedding plain, but a small proportion are perpendicular. Poorly sorted.  Diffuse boundary to:	Primary channel or channel lag deposit (B horizon)
4b	0.70 - 0.85	7.5 YR 7/3 pink (7.5 YR 5/4 moist) fine to medium cobbles and with frequent subangular to sub-rounded pebble-sized clasts of tuff, obsidian and perlite.  Sharp boundary to:	Primary channel or channel lag deposit (C horizon)
5	0.85 - 1.0	2.5YR 5/6 red (2.5 YR 5/6 moist) medium to coarse sand with frequent darker coarse sand particles. Well sorted.	Weathered tuff (C horizon)

### B Trench 49H1

Table 1: Sediment descriptions of Trenches 36A7 (A) and 49H1 (B)

		Grain size parame	ters (φ)						
Sample Code	Unit	Mean	Sorting	Skewness	Kurtosis	Median grain size (D <sub>50</sub> )	% SAND	% SILT	% CLAY
Trench 36A7			_	_					
BAR12-1	2b	4.738	2.491	0.156	0.884	4.445	43.1%	52.2%	4.7%
BAR12-2	2b	4.739	2.543	0.128	0.979	4.442	42.7%	52.5%	4.8%
BAR12-3	2b	4.578	2.389	0.161	0.968	4.284	45.1%	51.2%	3.7%
BAR12-4	3a	4.190	2.288	0.160	1.018	3.957	50.8%	46.8%	2.4%
BAR12-5	3a	3.882	2.166	0.167	1.042	3.682	56.2%	42.4%	1.4%
BAR12-6	3a	4.161	2.066	0.161	1.051	3.932	51.4%	47.5%	1.1%
BAR12-7	3a	3.744	2.414	0.064	1.050	3.662	56.0%	42.7%	1.3%
BAR12-8	3a	4.030	2.145	0.134	1.069	3.845	53.1%	45.7%	1.3%
BAR12-9	3a	3.871	2.045	0.141	1.086	3.708	56.0%	43.0%	1.0%
BAR12-10	5	3.250	1.715	-0.069	1.140	3.313	67.5%	32.5%	0.0%
Trench 49H1									
BAR12-11	2	6.682	2.464	-0.017	1.049	6.839	14.0%	70.0%	16.0%
BAR12-12	3a	6.097	2.434	-0.024	0.896	6.257	21.6%	68.3%	10.2%
BAR12-13	3a	5.572	2.648	0.163	0.925	5.349	30.3%	59.6%	10.1%
BAR12-14	3a	5.287	2.074	0.141	1.042	5.140	26.9%	67.5%	5.6%
BAR12-15	4b	4.594	2.549	0.047	0.964	4.511	41.9%	53.9%	4.2%
BAR12-16	4b	4.715	2.384	0.089	0.966	4.565	40.4%	55.6%	4.0%
BAR12-17	5	3.262	2.344	0.035	0.957	3.303	62.4%	36.7%	0.9%

Table 2. Grain size statistics for Barozh 12. Shown are grain size parameters ( $\phi$ ) and % contribution of sand, silt and clay. Grain size parameters calculated following methodology of Folk and Ward (1967)

4b	4a	3b	3a	26	contact)	2a (below	contact)	2a (above	16	1a		Sample
69.5- 77	632- 69.5	55.5- 63	48- 55.5	41.5- 49		36- 41.1		34-36	28.5- 36	21- 28.5		Depth (below ground surface )
5	ω	ω		ω		ω		2b	2b	2b		Unit
M	M	Μ	Μ	Z		3		A	M, IG	M, IG		Microstructure
5	15-30	10-15	10-15	10		5		5	2-5	2-5	% s.a	Voids
Ch Vu,	유 년	Vu	Ch √u,	Ch Vu	;	√. Ç		Ch,P	Ch,	۲. Ch	Туре	
6040	50:50	40:60	40:60	40:60		30:70		70;30	60:40	50:50		C/F ratio
Un	С	С	С	C		С		С	С	С		B- fabric
1	,	,	1	,		*		•	,	1	Nodules	Calcitic pedofeatures
*	*	*	*			,		*	*	*	Coatings	
*	*	*	*	*		,		•	*	*	Infillings	
		*	*	*		*		•	,	,	Nodules	Gypsic pedofeatures
*	*	*	*	*		*			,	'	Coatings	
*	*	*	*	* * *		* * *			,	,	Infillings	
		*	*	*		,		*	,	,	Clay coatings	Textural Pedofeatures
	*		*	*		•		,	'	'	Clay- silt caps	
	1	*	1	,		•		*	*	*		Fe-Mn concentration features
**	**	*	*	*		*		* *	*	*	Amorphous	Organic matter
	'		*	,		,			,	'	Plant residue	
,			,	*		*			*	*		Passage features

Table 3: Summary of the micromorphological features in Barozh 12 thin sections. C/F – coarse to fine ratio (20  $\mu$ m), M = massive, s.a = slide area, Ch = channel, Vu = vughs, Un = Undifferentiated, C = crystallitic; Gr = granular; P = planar voids; IG; intergranular texture, - = not detected, \* = rare (<0.5%), \*\*\* = 0.5-2%, \*\*\* = few (2-5%), \*\*\*\* = common (>5%)

		(n=24)										
		16		0.12		0.08			(14)			
$50.7 \pm 6.1$	CAM	155 $\pm$	$47 \pm 8$	$2.92 \pm$	0.261	$\pm$ 44.0	70   90-125   $15 \pm 5$   $0.88 \pm 0.05$   $1.39 \pm 0.07$	$0.88 \pm 0.05$	$15 \pm 5$	90-125	70	Barozh-OSL-3
		(n=24)										
		13		0.12		0.08			(13)			
$60.2 \pm 5.7$	CAM	$166 \pm$	$35 \pm 6$	$2.65 \pm$	0.265	$0.44 \pm$	$1.21 \pm 0.07$	$0.78 \pm 0.05$	$15 \pm 5$	90-125	50	Barozh-OSL-2 50 90–125 $15 \pm 5$ 0.78 $\pm$ 0.05 $1.21 \pm 0.07$
	(66%)	(n=20)										
$31.3 \pm 3.0$	FMM-2	$110 \pm 8$										
	(34%)	(n=10)		0.16		0.13			(12)			
$7.1\pm1.3$	FMM-1	$30 \pm 3$	$64 \pm 8$	$3.29 \pm$	0.268	$0.74 \pm$	$180-212$ $15 \pm 5$ $0.89 \pm 0.05$ $1.43 \pm 0.08$	$0.89 \pm 0.05$	$15 \pm 5$	180-212	30	Barozh-OSL-1
			(%)	(Gy/ka)	(Gy/ka)	(Gy/ka)	(Gy/ka)	(Gy/ka)	t (%) a	(mm)		
		D <sub>e</sub> (Gy) b	dispersion	rate	ray	dose rate	dose rate	dose rate	Conten	size	(cm)	
Age (kyr) b	Age model	$pIRIR_{290}$	Over-	Cosmic Total dose	Cosmic	Int. beta	Ext. beta	Gamma	Water	Grain	Depth	Sample

Table 4. Burial depths, units, grain sizes, dosimetry data,  $D_e$  and ages for the K-feldspar samples.

## Note:

<sup>a</sup> Values used for dose rate and age calculations, with measured (field) water contents shown in parentheses <sup>b</sup> The final ages are those obtained from pIRIR290 signals. All the ages were calculated by subtracting the residual dose (7  $\pm$  3 Gy) from corresponding  $D_e$  values. A relative error of 2% was included in the uncertainty on the final ages to allow for possible bias in the calibration of the laboratory beta source.

Depth (cm below ground surface)	Unit	Concentration (ng/g)	ОЕР	ACL	VPD
0 - 5	1	363.11	6.90	30.13	0.89
5 – 10	•••••	220.31	4.08	29.14	0.52
10 – 15		327.99	4.17	29.04	0.49
15 – 20		282.47	3.89	28.75	0.41
20 – 25	2	151.08	3.60	28.50	0.35
25 - 30		167.69	3.52	28.23	0.29
30 - 35		116.88	3.59	28.13	0.26
35 - 40		65.81	3.47	28.14	0.27
40 – 45		18.81	3.24	29.31	0.57
45 – 50		15.71	3.83	29.59	0.66
50 – 55	3	25.23	6.76	30.50	1.27
55 – 60		28.73	6.81	30.20	0.93
60 - 65		22.67	4.97	29.61	0.67
65 – 70		16.67	4.33	29.73	0.71
70 – 75	-	28.49	5.18	29.71	0.71
75 – 80	5	37.68	5.32	30.02	0.84

Table 5. Long chain n-alkane concentration, odd-over-even predominance (OEP) and average chain length (ACL). Vapor pressure deficit (VPD) is reconstructed from ACL after Eley and Hren (2018)

Stratigraphic Unit 1 + 2 3 4a Upper 4a Lower 4b 5	49H1	Total * Stratigraphic Unit 1+2a 2b 3 Upper 3 Lower 5 Upper 5 Lower Total	Stratigraphic Unit 1+2a 2b 3 Upper 3 Lower 5 Upper 5 Lower Total	47T1	Stratigraphic Unit 1+2a 2b 3 Upper 3 Lower 5 Upper 5 Lower Total	47J1	36A7 Stratigraphic Unit 1+2a 1+2a 2b 3 Upper 3 Lower 5 Upper 5 Lower Total
Depth 0-25cm 25-50cm 50-60cm 60-70cm 70-85cm 85-100cm			Depth 0-40cm 40-50cm 50-60cm 60-70cm 70-80cm 80-100cm		Depth 0-30 cm 30-40 cm 40-50 cm 50-60 cm 60-70 cm 70-80 cm		Depth 0-20cm 20-40cm 40-60cm 60-70cm 70-80cm 80-90cm
Complete 3 3		Complete 161 35 2 6 5 1 1 210	Complete 50 4 1 1 2 2 1 1 59 59		Complete 72 13 1 2 2 2 91		Complete 39 18 1 2 2 60
Broken	Core	Core  Broken 90 25 6 3 3 2 0 126	Broken 25 5 5 1 1 1 3 3 3 3 3 3 3 3 3 3 3 3 3 5 5 5 5	Core	Broken 44 7 7 1 1 52	Core	Core  Broken 21 13 4 2 1
Total 3 3		Total 251 60 8 9 7 1 1 336	Total 75 9 9 3 3 1 1 1 92 92		Total 116 20 1 3 3 0 143		Total 60 31 4 4 3 3 3 0 0 101
Complete		Complete 345 159 108 43 57 23 735	Complete 39 35 28 11 4 7 124		Complete 208 55 24 6 6 46 10 349		Complete 98 69 56 26 26 262
Broken 5 23 23 4 4 4 1	Blank	Blank Broken 2110 565 344 325 196 62 3602	Broken 916 155 78 84 47 25 1305	Blank	Broken 818 113 130 145 73 26 1305	Blank	Blank  Broken 376 297 136 96 76 11
Total 6 32 5 12 38		Total 2455 724 452 368 253 85 4337	Total 955 190 106 95 51 32 1429		Total 1026 168 154 151 119 36 1654		Total 474 366 192 122 83 17 1254
Complete I 5		Complete 107 54 161 60 71 34 487	Complete  76  46  40  17  179		Complete 36 33 3 5 4 4 23 115		Complete 71 21 80 10 8 8 8 3 193
Broken 5 18 1 1 1 1 1 1 26	Flake < 2cm	Flake < 2cm Broken 1053 397 568 858 858 357 146 3379	Broken 473 176 313 331 165 53 1511	Flake < 2cm	Broken 334 62 103 353 140 74 1066	Flake < 2cm	Flake < 2cm Broken 246 159 152 174 52 174 52 19 802
Total 6 23 1 1 1 1 1 1 3 2 3 3 2 3 3 2		Total 1160 451 729 918 428 428 180 3866	Total 473 176 389 377 205 70 1690		Total 370 95 108 357 163 88 1181		Total 317 180 232 184 60 22 995
16 15 32	Ang. debris	Ang. debris  1430 268 170 89 126 27 2110	600 28 21 11 18 24 10 701	Ang. debris	632 85 28 23 57 8	Ang. debris	Ang. debris  198 155 121 48 45 9 576
	s Ang. debris < 2cm	Ang. debris < 2 cm  882 229 172 229 243 200 81 1807 3	288 14 27 41 12 13 395	Ang. debris < 2cm	312 61 12 37 74 41 537	Ang. debris < 2cm	Ang. debris < 2 cm T 282 154 133 165 114 27 875 1
Total 32 21 1 1 54	s < 2cm	Total 2312 497 342 332 332 326 108 3917	Total 888 42 48 59 36 23 1096	2cm	Total 944 1146 40 60 131 49 1370	сш	Total 480 309 254 213 1159 36 1451
1 44 79 6 6 13 5 147	Unit Total	Unit Total 6178 1732 1531 1627 1014 374 12456	2391 417 546 534 293 126 4307	Unit Total	2456 429 303 571 416 173 4348	Unit Total	1331 886 682 522 305 75 3801

Table 6. Artifacts categorized according to class (core, blank, flake < 2cm [maximum dimension], angular debris (Ang. debris), angular debris < 2cm [maximum dimension]), and listed according to (sub) stratigraphic unit. Blank is utilized as a category because retouch was not always apparent on blanks due to carbonate coatings. Total \* indicates the sample analyzed in Figure 11.

Table 7. Excavated volume, numbers of artifacts, and artifact density (artifacts/m³) according to trench and stratigraphic unit. 49H1 not included in analyses shown in Figure 11.

		36A7			47J1			47T1			Total			49H1	
	Volume	п		Volume	п		Volume	п		Volume	n		Volume	n	
	(m <sup>3</sup> )	artifacts	density												
Unit 1+2a	0.2	1331	6655	0.3	2456	8187	0.4	2391	5978	0.9	6178	6864	0.25	44	176
Unit 2b	0.2	886	4430	0.1	429	4290	0.1	417	4170	0.4	1732	4330	0.25	79	316
Unit 3 Upper	0.2	682	3410	0.1	303	3030	0.1	546	5460	0.4	1531	3828	0.1	0	0
Unit 3 Lower	0.1	522	5220	0.1	571	5710	0.1	534	5340	0.3	1627	5423	0.1	6	60
Unit 5 Upper	0.1	305	3050	0.1	416	4160	0.1	293	2930	0.3	1014	3380	0.15	13	87
Unit 5 Lower	0.1	75	750	0.1	173	1730	0.2	126	630	0.4	374	935	0.15	S	33
Total	0.9	3801	4223	0.8	4348	5435	1	4307	4307	2.7	12456	4613	1	147	147

### **Appendix A: Methods**

## **Infrared Stimulated Luminescence (IRSL) Dating Methods**

Environmental dose rate of the samples was determined in the laboratory based on thick-source alpha counting (Aitken, 1985) and beta counting (Bøtter-Jensen and Mejdahl, 1988) techniques. Materials from as far away as ~30 cm from the sample tubes (the range of gamma rays in sediment) were collected to determine gamma dose rate. The materials from within the sample tubes were used for determining external beta dose rate. The environmental dose rate of each sample was calculated as the sum of the beta dose rate and allowing for beta-dose attenuation (Mejdahl, 1979), the in situ gamma dose rate, and the estimated cosmic-ray dose rate (Prescott and Hutton, 1994). Each of these external dose rate contributors were adjusted for long-term water content. The measured (field) water contents of the three samples range from 12 to 14 %, so we used a value of  $15 \pm 5$  % as an estimate of the long-term water content, with the standard error sufficient to cover (at  $1\sigma$ ) most of the field measurements. The total dose rate of each sample also includes an estimate of the internal beta dose rate (due to the radioactive decay of 40K and 87Rb). An internal K concentration of  $10 \pm 2\%$  (Smedley et al., 2012) and Rb concentration of  $400 \pm 100$  ppm were assumed (Huntley and Hancock, 2001). The dosimetry data of all the samples are summarized in Table A1.

Potassium-rich feldspar (K-feldspar) grains of 90–125 or 180–212 μm diameter were extracted from the sediment samples under dim red illumination and prepared using standard procedures (Aitken, 1998). For each sample, single aliquots composed of a few hundred grains were measured using an automated Risø TL/OSL reader equipped with infrared (875 nm) light-emitted diodes (LEDs) for stimulation (Bøtter-Jensen et al., 2003) and a calibrated 90Sr/90Y source for beta irradiations. The IRSL emissions were detected using an Electron Tubes Ltd 9235B photomultiplier tube fitted with Schott BG-39 and Corning 7-59 filters to transmit wavelengths of 320–480 nm.

To estimate the equivalent dose (De) for each aliquot or grain, the single-aliquot regenerative-dose (SAR) post-IR IRSL (pIRIR) procedures were applied (Thomsen et al., 2008) to overcome the anomalous fading effect. The dim IRSL signals from our samples, however, prevent the application of the multiple elevated temperature post-infrared IRSL (MET-pIRIR) regenerative-dose procedure (Li and Li, 2011), so we used a two-step pIRIR(100, 290) procedure to improve the signal-to-noise ratio, which involves a prior IR stimulation at 100 °C for 200 and a post-IR IR stimulation at 290 °C for either 200 s (single aliquots). A preheat of 320 °C for 60 s was applied for the natural, regenerative and test doses. At the end of each SAR cycle, an infrared bleach at 325 °C for 100 s was applied. Example pIRIR decay and dose-response curves are shown in Fig. SI 1.

We conducted several tests to check whether the pIRIR(100, 290) signals can produce reliable De estimates for our samples. Test included dose recovery, anomalous fading and residual dose tests. For the residual dose test, 3 aliquots from Barozh-OSL-3 were bleached for  $^4$  hr using a UVACUBE 400 solar simulator. The residual doses were then estimated using the pIRIR procedure. The residual doses obtained for the 290°C pIRIR signal is  $7 \pm 3$  Gy. This value is subtracted from the sample De values prior to calculation of the final ages.

Dose recovery tests (Galbraith et al., 1999) were performed on 4 aliquots from Barozh-OSL-2. The aliquots were bleached for ~4 hr using the solar simulator, and then given a beta dose of 152 Gy. The given dose was treated as surrogate 'natural' doses and were then measured using the same pIRIR procedure. The measured over given dose ratios for the 290°C pIRIR signals is  $0.97 \pm 0.11$  after correcting for residual dose, demonstrating that the given (known) dose can be recovered successfully using the pIRIR procedure.

We also conducted fading tests on 12 aliquots from Barozh-OSL-2 using a single-aliquot procedure similar to that described by Auclair et al. (2003), but based on the pIRIR(100, 290) procedure.

Doses of ~220 Gy were administered using the laboratory beta source, and the irradiated aliquots were then preheated and stored for periods of up to 1 week at room temperature. The time of prompt measurement ranges from ~1340 s for the 100 °C IRSL to ~1670 s for the 290 °C pIRIR signal. The g-values for the 100 °C IRSL and the 290 °C pIRIR are  $1.0 \pm 2.9$  and  $0.5 \pm 1.8$  %/decade, respectively, with large uncertainties due to the relatively dim signals of the samples. The results suggest that the pIRIR signals suffer negligible fading signals for our samples.

### IRSL age estimation

Based on the aforementioned performance tests, the pIRIR procedure was used to estimate the De values for all the samples. From 24 to 30 aliquots were measured for each sample. The distributions of the individual De values for each sample are shown in Fig. 1 It can be seen that both Barozh-OSL2 and Barozh-OSL-3 have De values randomly distributed around a central value, while the topmost samples (Barozh-OSL-1) clearly show distinctively two discrete components. The over-dispersion (OD) in the De values obtained from the central age model (Galbraith and Roberts, 2012) are  $64 \pm 9 \%$ ,  $35 \pm 6 \%$  and  $47 \pm 8 \%$  for Barozh-OSL-1, -2 and -3, respectively. We, therefore, applied CAM to estimate the final De for Barozh-OSL-2 and -3 only. For the sample Barozh-OSL-1, we applied a finite mixture age model (FMM) (Roberts et al., 2000) to estimate the De for the two discrete components, which yielded  $110 \pm 8 \text{ Gy}$  and  $30 \pm 3 \text{ Gy}$ , respectively; the former component consists of 67% of the total number of aliquots.

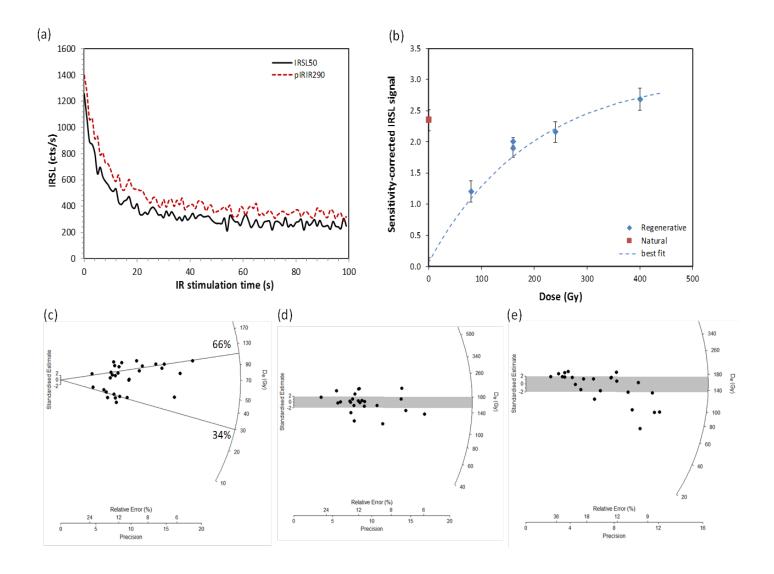


Figure Appendix 1: (a) Typical natural decay curve of the 50 °C IRSL and 290 °C pIRIR signals for one aliquot from sample Barozh-OSL2. (b) The corresponding dose response curve of the 290 °C pIRIR signal from the same aliquot shown in (a). (c–e) Radial plots showing the distribution of the 290 °C pIRIR signals for Barozh-OSL-1, -2 and -3, respectively. The upper and lower lines intersect the righthand axis in panel c represent the  $D_e$  values of two components obtained using the finite mixture model, with the percentage proportion of each component shown next to the lines. The grey bands in panel d and e shows the 2 sigma range centered on the weighted mean  $D_e$  calculated using the central age model.

### Artifact cleaning methods (modified after Glauberman et al. In Prep.)

The artifact cleaning procedure was implemented at the Hydrogeochemical Laboratory of the Institute of Geological Sciences of the National Academy of Sciences of the Republic of Armenia by Dr. Shushanik Zakaryan and Dr. Shushanik Gyulnazaryan. The following text is provided by these authors.

The majority of excavated artifacts were encrusted with calcium carbonate coatings of variable thickness and artifact coverage. To analyze on samples of artifacts from all stratigraphic units, we employed a non-invasive method to remove carbonate coatings.

The process of cleaning the surface of artifacts occurred in three stages: the first – mechanical cleaning and the subsequent two – chemical. In the first stage, artifacts were washed with water and then boiled for 1 hour in a solution of a detergent containing surface active substances (surfactants). Surfactants are chemical compounds that when concentrated at the interface surface (the boundary of the artifact and the coating), cause a decrease in surface tension, which allows softening and partial removal of the petrified layer of sediment (carbonate coating) on the artifacts. The surfactant molecule is a sphere, one pole of which is lipophilic (combines with fats), and the other - hydrophilic (comes into contact with molecules of water). That is, at one end a particle of surfactant is attached to a particle of fat, and the other end - to the water particles thereby separating fat from the artifact. During the second stage, artifacts were cleaned chemically. They were heated in a solution of hydrochloric acid (HCl) for 20 min. The acid concentration depended on the amount of silicon oxide in the sample artifact. If it was obsidian, then a concentrated acid was used, and if it was dacite, then a diluted acid was used, in the ratio of 1:1. The acid promotes the decomposition of the main carbonate coating layer. The third stage: As a result of the acid decomposition of carbonate coatings, a layer of oxides and slightly soluble salts of certain metals (Al2O3, Cu2O, Co3O4, SnO2, MoCl3, etc...) contained in the soil remained on the artifacts. These poorly soluble salts and oxides were purified by boiling in a saturated alkaline solution (sodium

hydroxide - NaOH or potassium hydroxide - KOH) for about 10 minutes, while water-soluble hydroxides of these metals were formed which easily pass into the alkaline solution from the surface of the artifact. To neutralize the alkali, a small amount of hydrochloric acid was added, which simplified the final washing of the artifacts in running water.

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